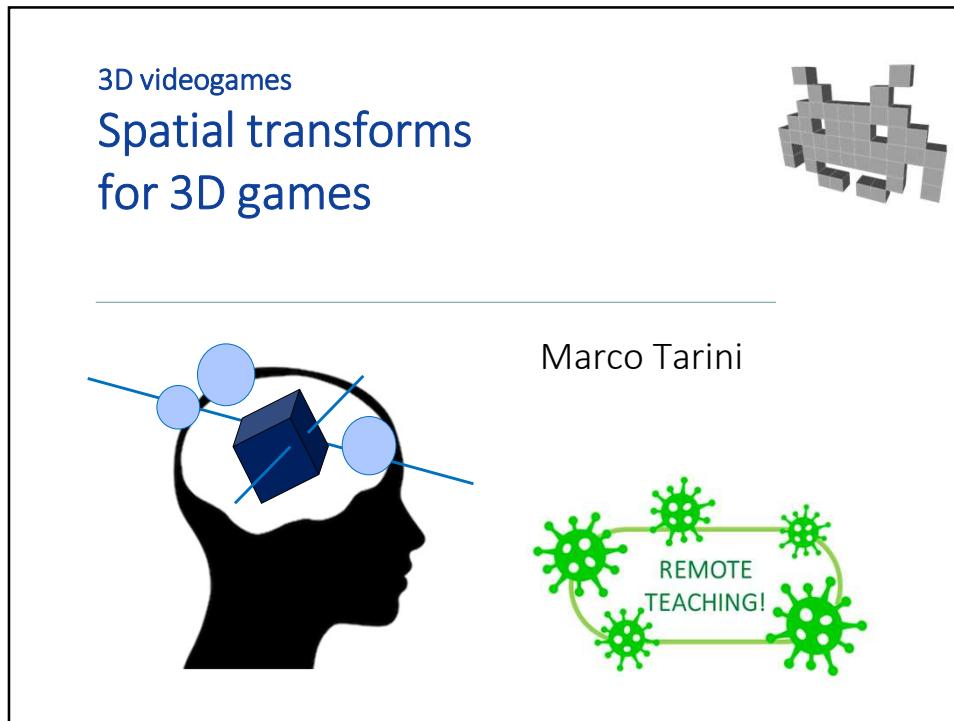
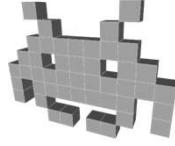


3D videogames  
**Spatial transforms  
for 3D games**

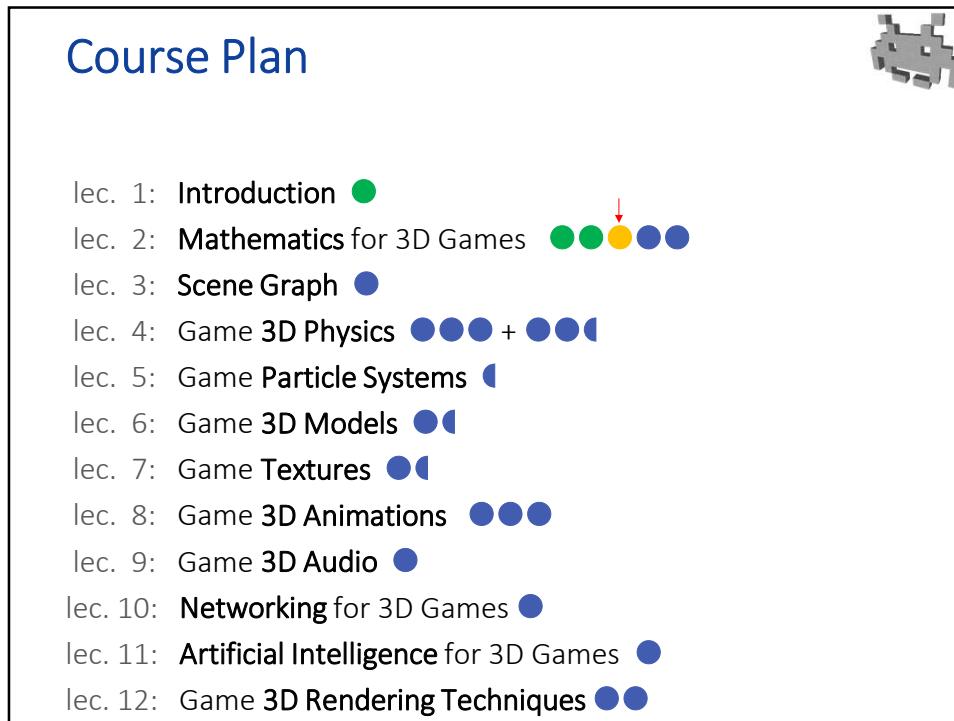


Marco Tarini



2

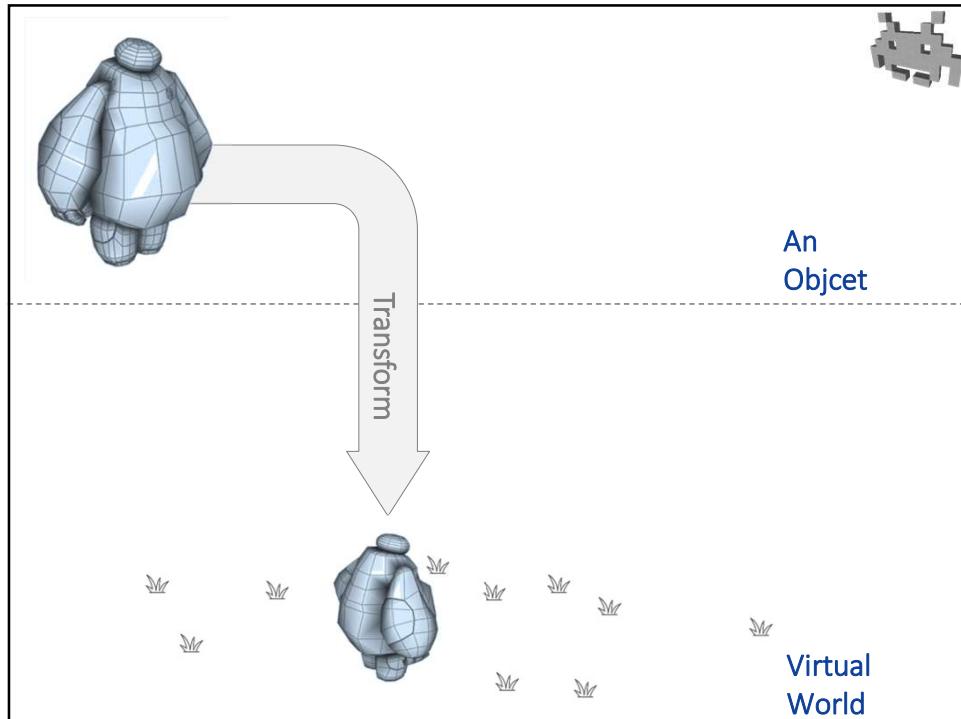
**Course Plan**



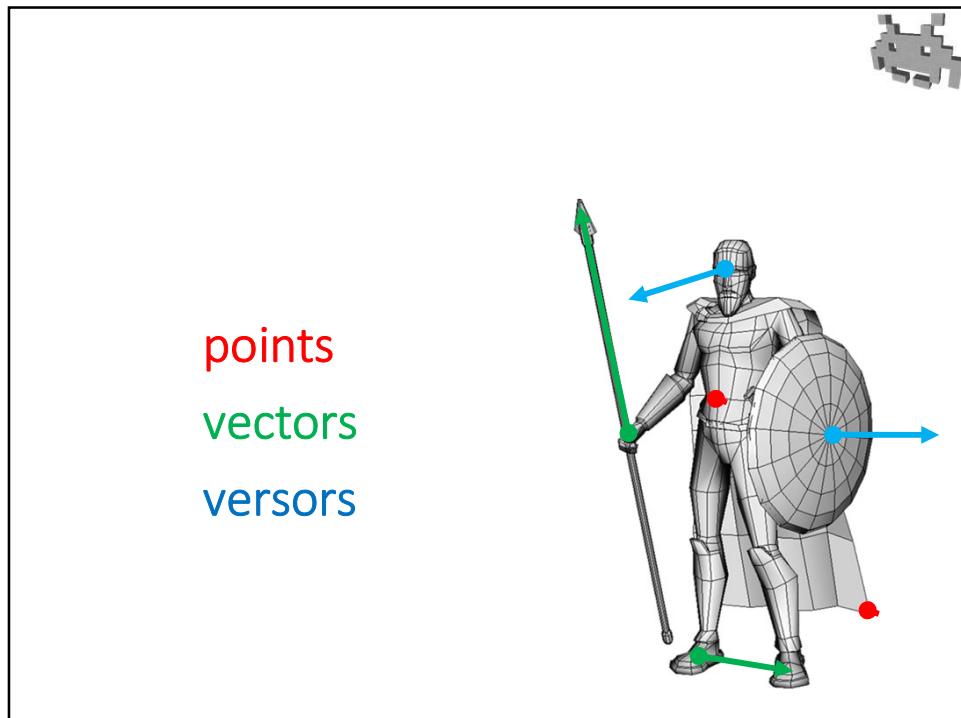
- lec. 1: Introduction ●
- lec. 2: Mathematics for 3D Games ● ● ● ● ● →
- lec. 3: Scene Graph ●
- lec. 4: Game 3D Physics ● ● ● + ● ● ●
- lec. 5: Game Particle Systems ●
- lec. 6: Game 3D Models ● ●
- lec. 7: Game Textures ● ●
- lec. 8: Game 3D Animations ● ● ●
- lec. 9: Game 3D Audio ●
- lec. 10: Networking for 3D Games ●
- lec. 11: Artificial Intelligence for 3D Games ●
- lec. 12: Game 3D Rendering Techniques ● ●



4



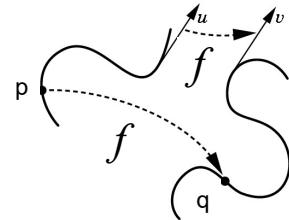
5



6

## Spatial (3D) Transformations as mathematical objects

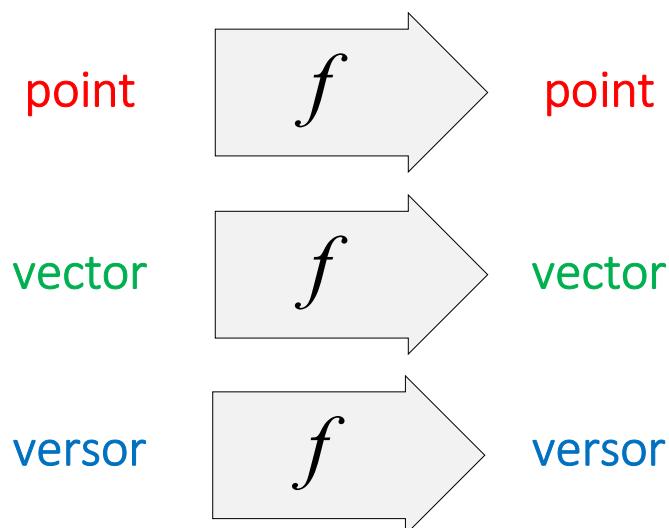
- They are functions
- input:
  - a point, or
  - a vector, or
  - a versor
- output:  
the same type  
as the input



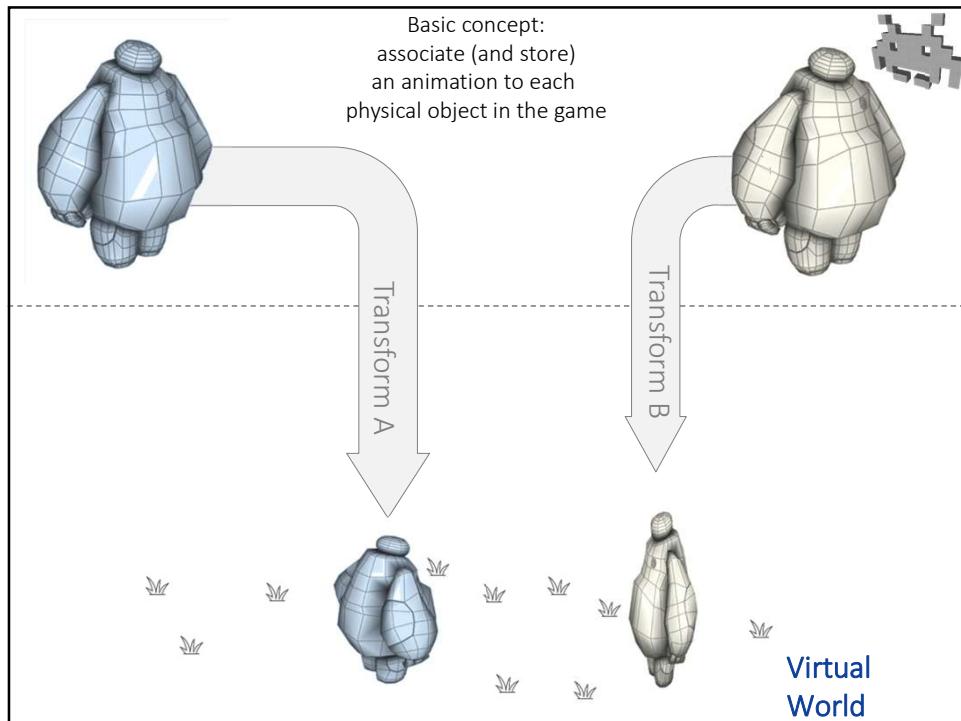
$$q = f(p)$$
$$v = f(u)$$

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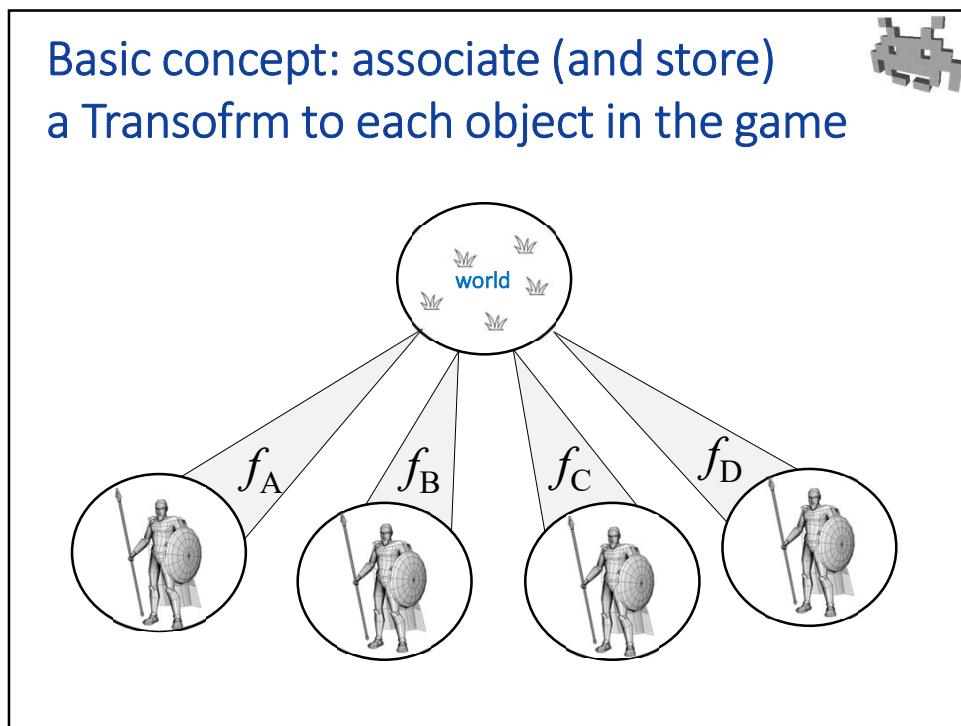
## Geometric Transformations: as mathematical objects



8



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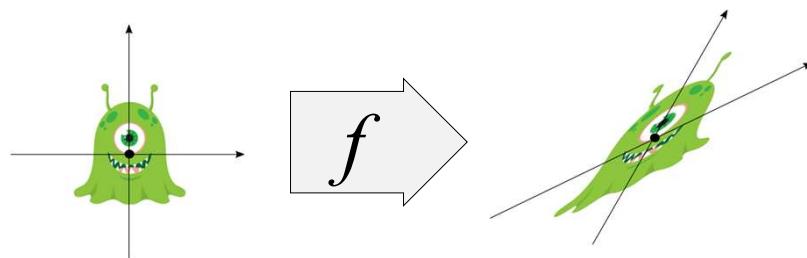
## Transforms in 3D games

- Each **object** of the game is placed in the **scene**
  - the virtual world, shared by all the current objects
- This is done by *transforming* that object
  - *from*: its own «**object space**»  
(or «**local space**», or «**pre-transform space**» )
  - *to*: the common «**world space**»  
(or «**global space**», or «**post-transform space**» )
- A transform is associated to each object
  - in CG, it would be called its « **modelling transform** »

a character, a spaceship,  
a bullet, a house, a camera,  
a light source, an explosion,  
a sound emitter, a spawn pos,  
...anything at all!

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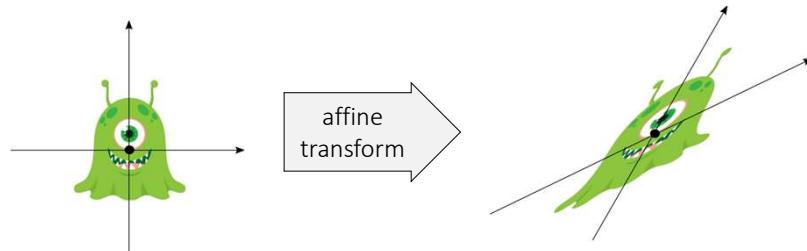
## Affine transformation in a nutshell



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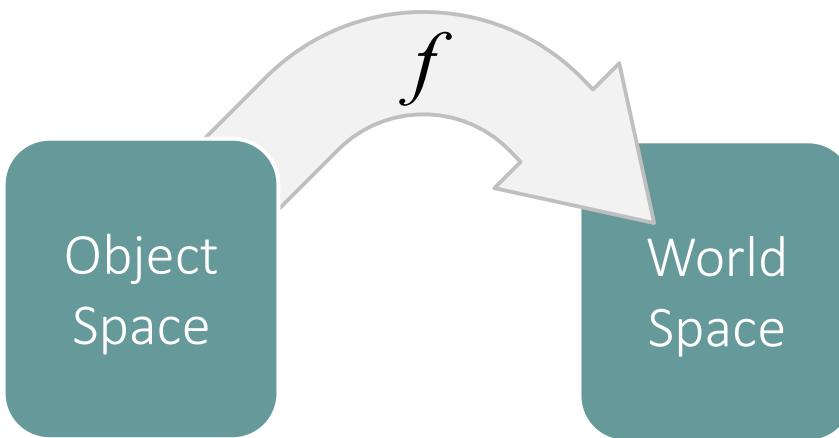
## Transforms in CG

- The most used class of Transforms in CG is the *affine* transform
- An affine transformation goes from a **reference frame** to another



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## «Model» trasform



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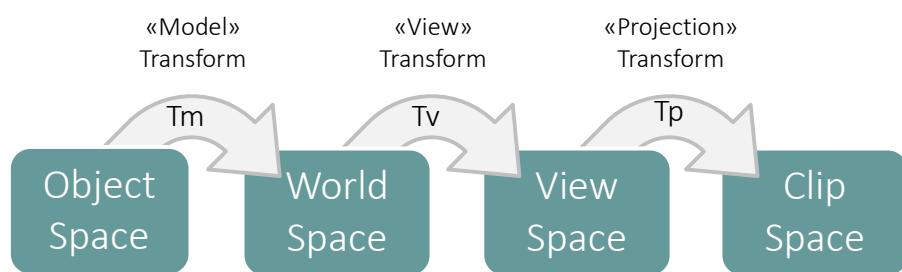
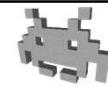
## Affine transformation



- Equivalent definitions:
  - it can be expressed as **pre-multiplication** of the transformed point/vec/dir in affine coords **by a 4x4 matrix M** having last row: 0,0,0,1
  - it's a **change of reference frame** from a given source one to a given destination one
    - origin + set of 3 axes
    - not degenerate
  - it's linear :  
$$f(p + b\vec{v}) = f(p) + bf(\vec{v})$$
  
$$f(a\vec{v} + b\vec{w}) = a f(\vec{v}) + b f(\vec{w})$$

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## Affine transforms everywhere (in CG)



Transformation pipeline in Rendering (see CG course)

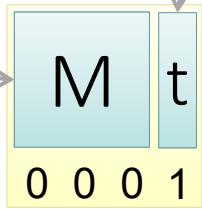
20

## An affine transformation (in 3D) is simply a 4x4 matrix

- General case :

3x3 submatrix

Rotation +  
Scaling +  
Shearing



vector 3

Traslation

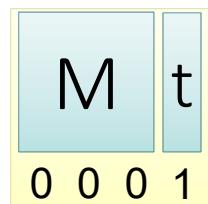
always  
0,0,0,1

- Equivalently, can be stored as:  
Mat3x3 M and Vec3 t

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## Affine Transf: how to apply them (in one slide)

points:    vectors:    versors:    transforms:



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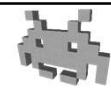
## Affine Transf: how to apply them (in one slide) – [notes]



- Take the  $(x,y,z)$  cartesian coords of the point or vector or versor to be transformed
- Append a 4th “affine” coordinate  $w$  as
  - **1**, for points
  - **0**, for vector or versors (sadly we can't discriminate)
- Note: the resulting 4D vector is termed the “homogeneous coordinates” of the point
- Multiply the transform matrix  $M$  by this (column) vector to get the transformed point / vector
  - Note: as we needed, points always becomes points, vectors & versors become vectors

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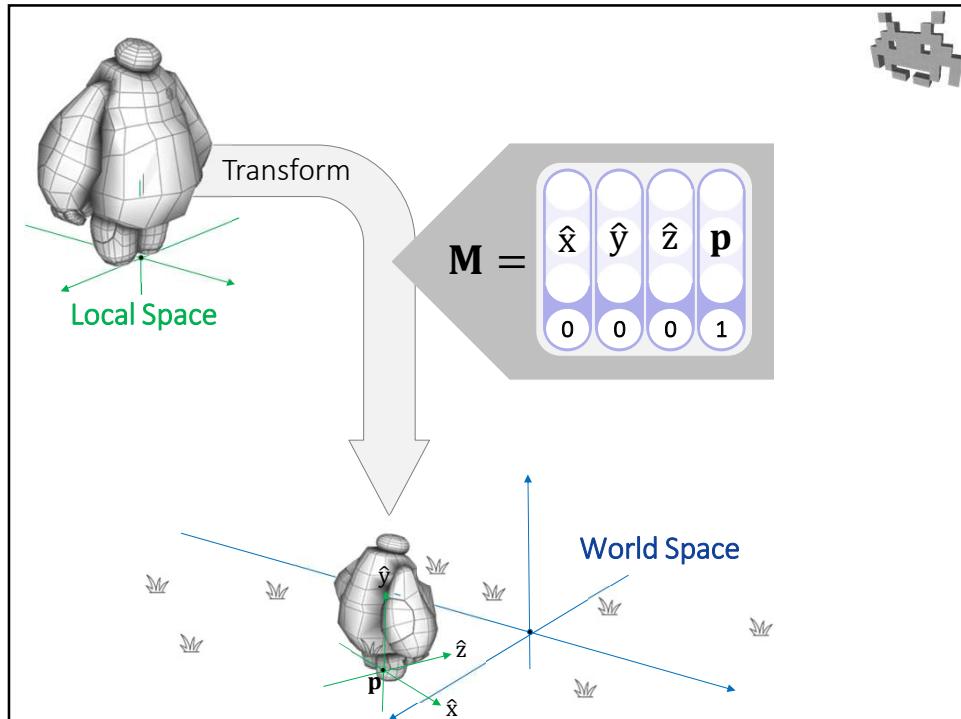
## Why it works: the Matrix is...



- ...a direct description of the “starting” **reference frame**

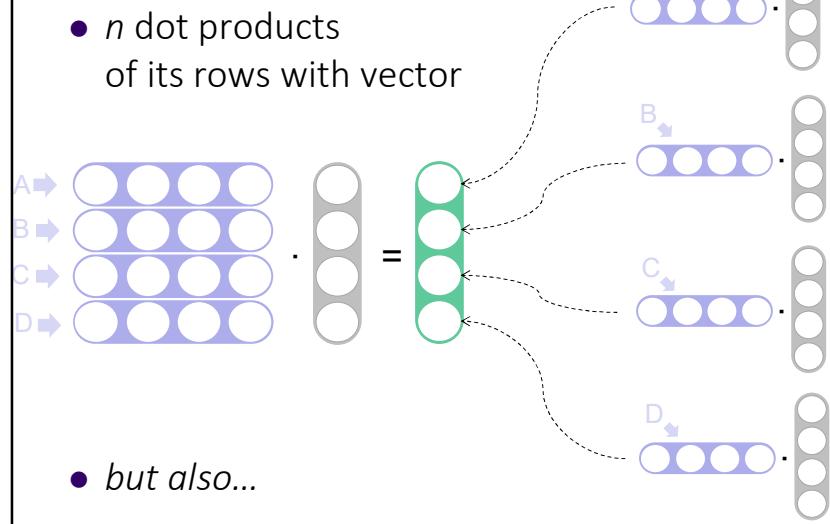
$$M = \begin{matrix} & \text{its X axis} & \text{its Y axis} & \text{its Z axis} & \text{its origin} \\ & \downarrow & \downarrow & \downarrow & \downarrow \\ \begin{matrix} M = \end{matrix} & \begin{matrix} 0 & 0 & 0 & 1 \end{matrix} & \begin{matrix} 0 & 0 & 0 & 1 \end{matrix} & \begin{matrix} 0 & 0 & 0 & 1 \end{matrix} & \begin{matrix} 0 & 0 & 0 & 1 \end{matrix} \end{matrix}$$

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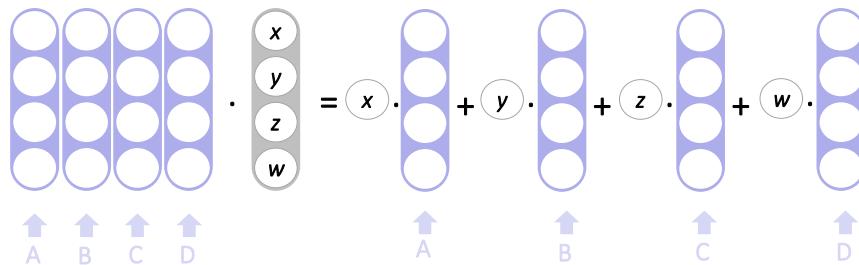
## Why it works: the Matrix $\times$ Vector product is...



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## Why it works: the Matrix $\times$ Vector product is...

- ...a linear combination of its columns



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## Why it works: the Matrix $\times$ Vector product is...

- ...a linear combination of the columns
  - That is, for vectors, or versors ( $w=0$ ):  
a linear combination of the axes with weights  $x,y,z$
  - That is, for points ( $w=1$ ):  
the origin point, plus  $x,y,z$  “steps” in the axes directions.
- Note: as required, the  $w$  of the result is always
  - 0 for vectors (result is a vector)
  - 1 for points (result is a point)

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## Affine Transforms: what do they do in practice

- Rotations
- Translations
  - (of points – directions are unaffected)
- Scaling
  - uniform or not uniform
- Shearing (aka skewing)
  - ... and their combinations



EXHAUSTIVE LIST!

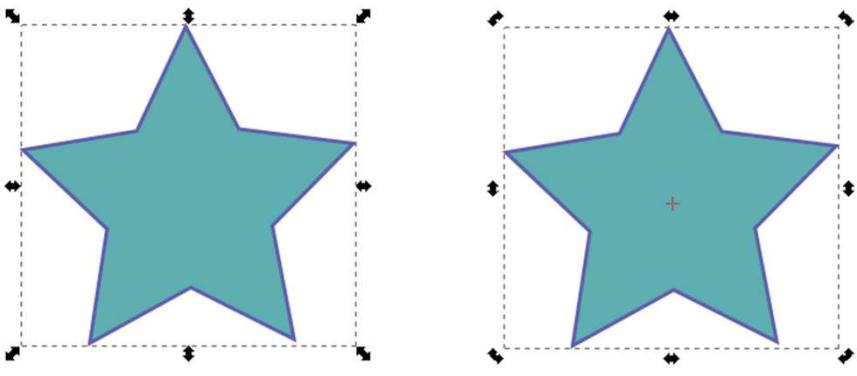
they include all "isometries" aka "isometric transform" aka "rigid transforms"

They include all "similitudes" or "conformal transform" (they don't change, the angles i.e. the shape)

closed w.r.t. composition (we just multiply the matrices)

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## GUI tools to let an artist choose an affine transform in 2D



[DEMO]

these familiar controls (plus drag-and-drop to translate) can be used to specify *any* affine transformation in 2D

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## GUI tools to determine an affine transform in 2D



- 2D gizmos to specify an affine transformations



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## How to *internally represent* a transformation



- For example, with a 4x4 matrix

```
class Transform {  
    // fields:  
    Mat4x4 m;  
  
    // methods:  
    Vec4 apply ( Vec4 p ); // p in Affine coords  
                    // vector/versor, or point  
    ...  
}
```

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## How to *internally represent* a transformation

- For example, with a 4x4 matrix

```
class Transform {  
    // fields:  
    Mat4x4 m;  
  
    // methods:  
    Vec3 applyToPoint( Vec3 p ); // p in Cartesian coords.  
    Vec3 applyToVector( Vec3 v ); // v in Cartesian coords.  
    Vec3 applyToVesror( Vec3 d ); // d in Cartesian coords.  
  
    ...  
}
```

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## How to *internally represent* a transformation

- For example, with a 4x4 matrix

```
class Transform {  
    // fields:  
    Mat4x4 m;  
  
    // methods:  
    Vec3 applyToPoint( Vec3 p ){  
        return toVec3( m * Vec4( p.x, p.y, p.z, 1 ) );  
    }  
  
    Vec3 applyToVector( Vec3 v ){  
        return toVec3( m * Vec4( v.x, v.y, v.z, 0 ) );  
    }  
}
```

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## How to *internally represent* a transformation



- Variant: as a 3x3 Matrix, plus one translation vector:

```
class Transform {  
    // fields:  
    Mat3x3 m; // rotation + shear + scale  
    Vec3 t; // translation  
  
    // methods:  
    Vec3 applyToPoint( Vec3 p ) {  
        return m * p + t;  
    }  
  
    Vec3 applyToVector( Vec3 v ) {  
        return m * v;  
    }  
    ...  
}
```

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## How to *internally represent* a transformation



- Versors must be renormalized 😓 :
  - we don't know if the matrix scales them or not

```
class Transform {  
    // fields:  
    ...  
  
    // methods:  
    Vec3 applyToVersor( Vec3 d ) {  
        Vec3 q = applyToVector( d );  
        return q / normal( q );  
    }  
  
    ...  
}
```

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## Example: in unity



Class `Transform` with methods:

- `Vector3 TransformPoint(Vector3 pos)`
- `Vector3 TransformVector(Vector3 vec)`
- `Vector3 TransformDirection(Vector3 dir)`

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## Example: in unreal engine



Class `FTransform` with methods:

- `FVector TransformPosition( FVector pos )`
- `FVector TransformVector( FVector vec )`
- `FVector TransformVectorNoScale( FVector dir )`

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CG students please note:

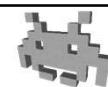
### 3D transformations are *not* 4x4 matrices



- a 4x4 Matrix is *one way* to represent *one kind* of 3D transformation
  - specifically: **affine transformations**
- sure, it's a useful kind, and it's a good way
  - elegant, sound, convenient...
  - in CG, this is such a common way that "matrix" is basically a synonym of "transformation". E.g.: the "view matrix"
  - to learn more, see the Computer Graphic course
- For games, this method is not ideal
  - It doesn't fit particularly well all the criteria we need...

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### What do 3D *games* need to do with a transformations?



- store
- apply
- compose
- invert
- interpolate
- and, **design**

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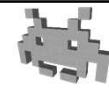
## We want transformations to be...



- **compact to store**
  - memory footprint for one transform?
- **fast to apply**
  - how quick is it to apply it to one (or 99999) points / vectors / versors?
- **fast/accurate to compose**
  - given 2 transforms, is it easy to find the *combined transformation*?
  - (note: combination is not commutative!)
- **fast to invert**
  - how easy or fast is to find the inverse transformation?
- **easy to interpolate**
  - given 2 transforms, is it possible/easy to *interpolate them*?
  - and, how «good» is the result?
- **Intuitive to author / edit**
  - how easy is it for modellers / sceners / animators / etc to define one?

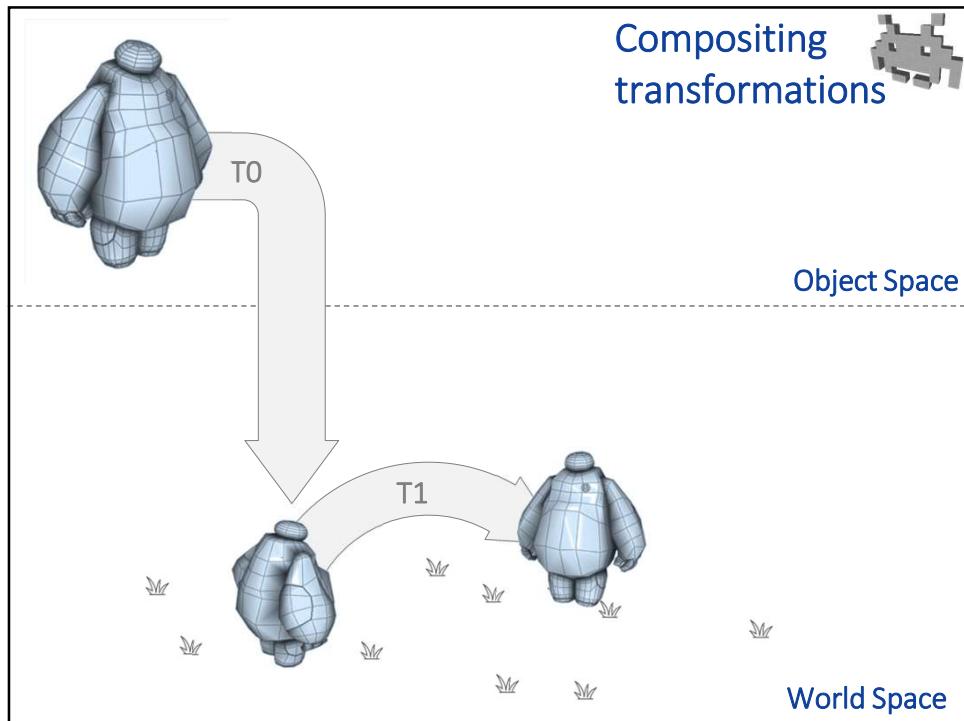
44

## Moving objects in a 3D Game

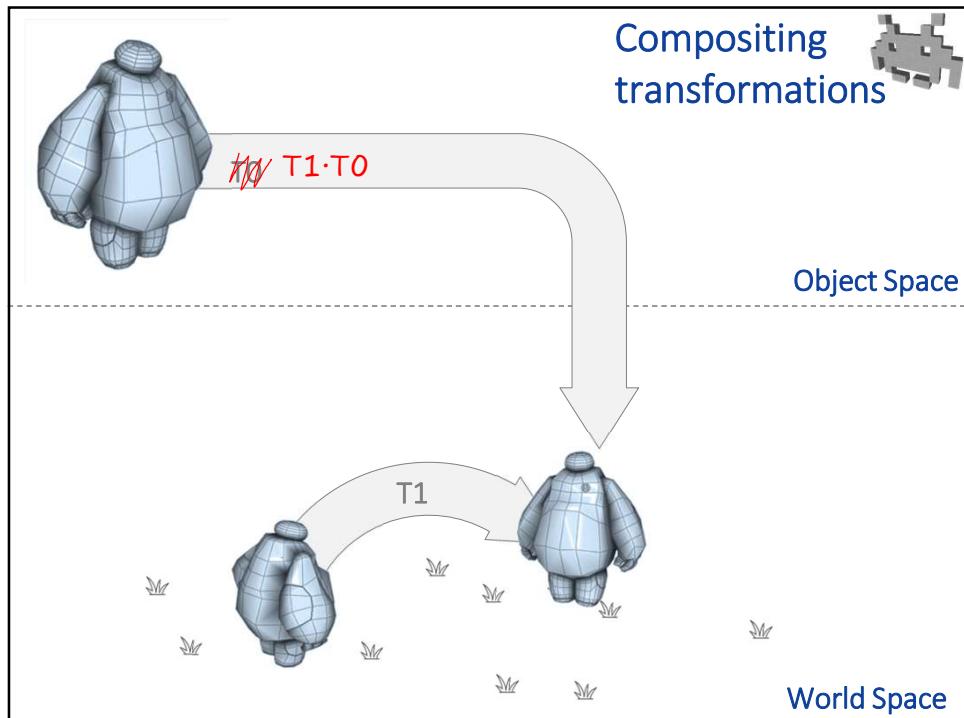


- We move the objects in the scene by *changing the associated transform*
- Which is done by:
  - the scener / level designer (during the design)
  - the game physics
  - the AI scripts
  - the control scripts (press left arrow: move left)
  - etc

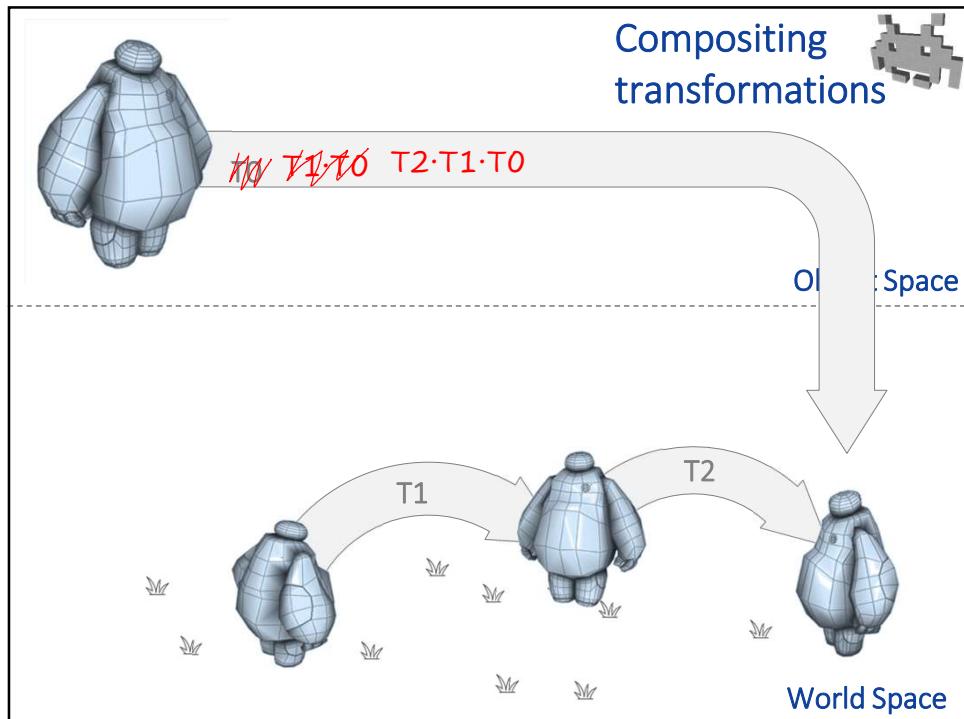
45



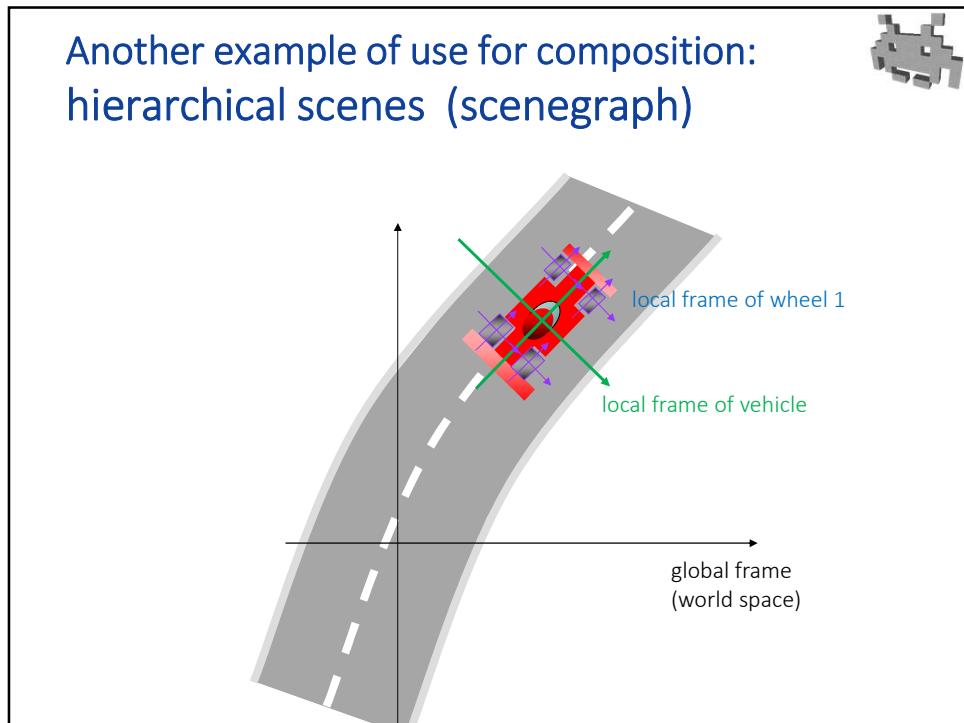
49



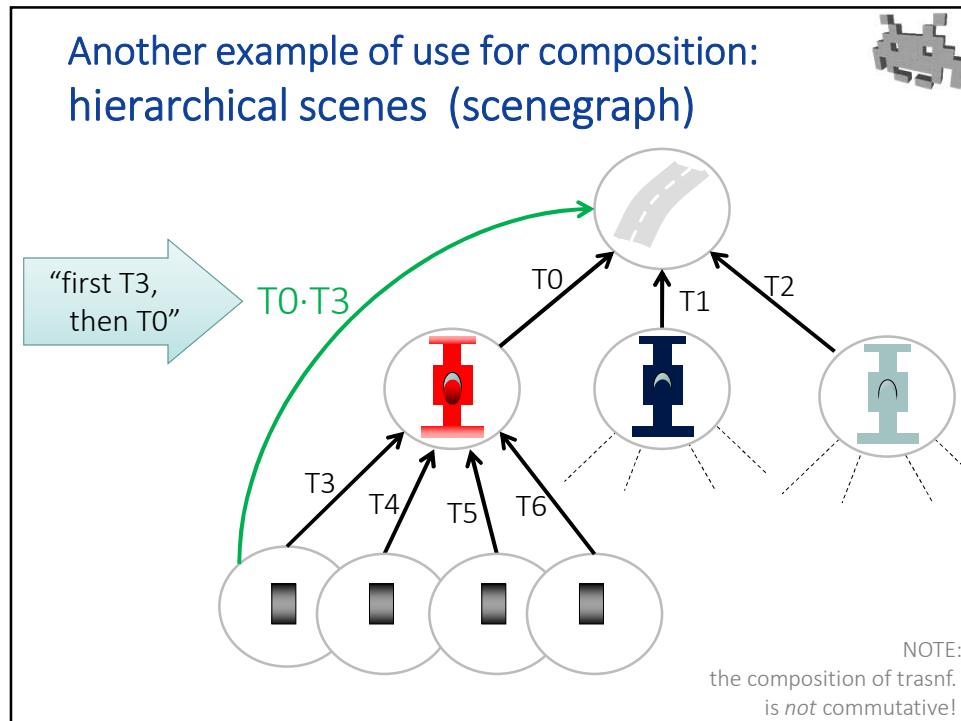
50



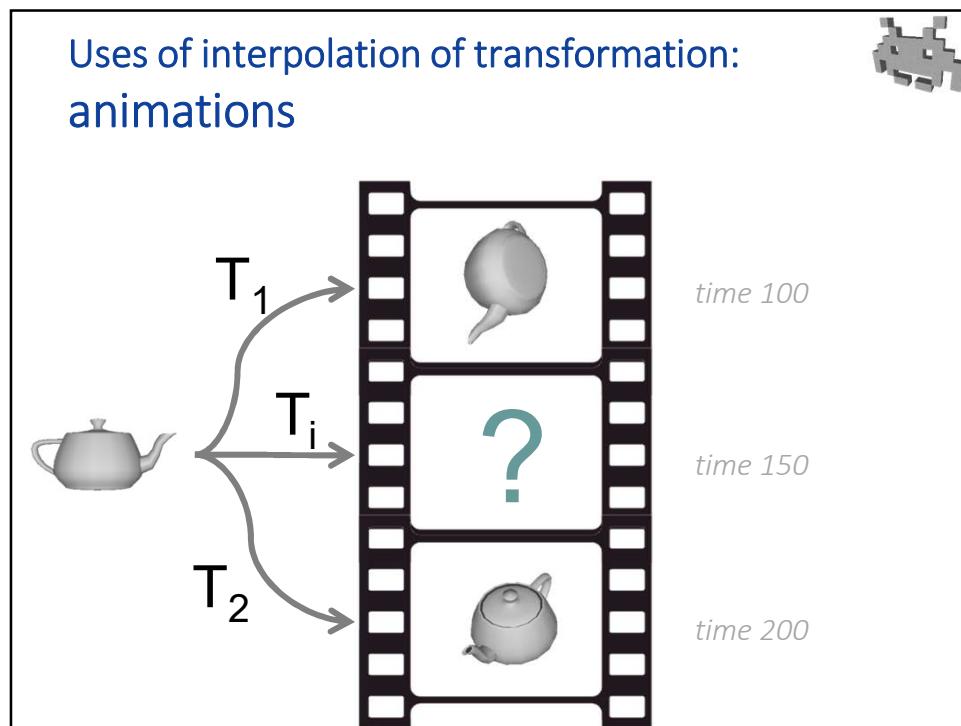
51



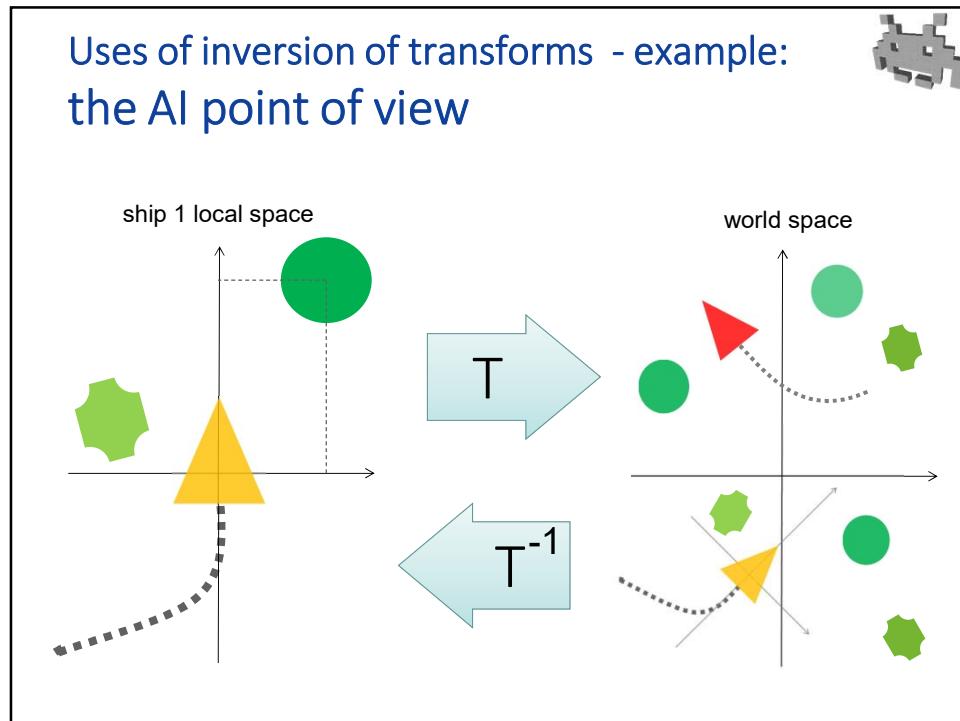
52



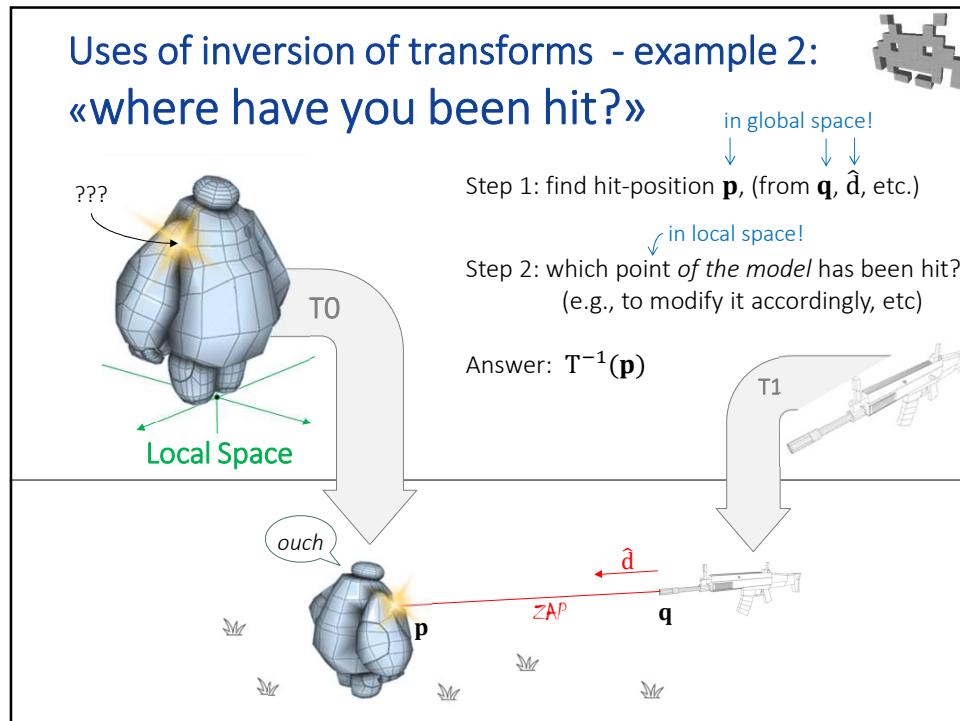
53



54



55



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## We want transformations that are ...



- **compact to store**
  - With a 4x4 Matrix: 16 numbers 😞
- **convenient to apply (matrix: 16 numbers 😞 )**
  - With a 4x4 Matrix: matrix-vector product (not too bad)
  - But: versors become vectors 😞
- **good to composite**
  - With a 4x4 Matrix: matrix-matrix products (~128 scalar operations!)
  - Plus: lose accuracy after many compositions
- **fast to invert**
  - With a 4x4 Matrix: matrix inversion. Not the quickest!
- **easy to interpolate**
  - With a 4x4 Matrix: we can interpolate easily each of 16 numbers, but results aren't the expected one
    - E.g. interpolating between of 2 rigid transformation is not rigid
- **intuitive to author / define**
  - With a 4x4 Matrix: not always. Need to specify all vectors axes

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💡 keep the components  
*separated*



$$\text{a Transformation} = \left\{ \begin{array}{l} \text{a Rotation} \\ + \text{a Scaling} \\ + \text{a Translation} \\ + \text{Shearing} \end{array} \right.$$

uniform ~~or not~~

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## 💡 keep the components of the transform separated

a Transformation =  $\begin{cases} \text{a Rotation} \\ + \text{a Scaling (uniform or not)} \\ + \text{a Translation} \\ + \text{Shearing} \end{cases}$

Advantages of storing them separately (a summary – see next slides)

- **We can pick just the components that we actually need**
  - saves space and simplifies all operations
- **Inversion is faster**
  - but, remember to compensate for the fixed order
- **Ability to only apply the relevant components to each entity**
  - faster! i.e. to points, vectors, and versors
  - No need to renormalize versors
- **Intuitive to set up**
  - each component has a very intuitive meaning

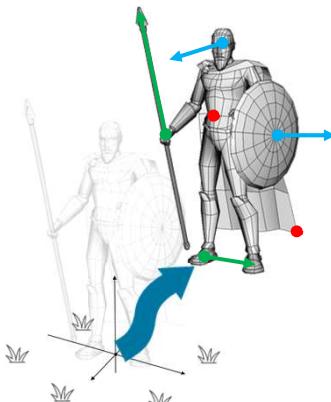
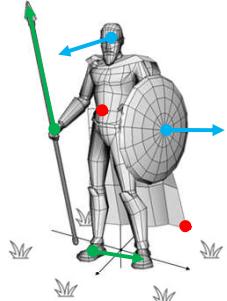
60

## Which component do we need supported in a 3D game?

- **Translation** : necessary
  - and trivial
- **Rotation** : necessary.
  - and not that trivial (in 3D)
  - *will cover this in the next lecture (for now, rotation = black-box function)*
- **Uniform scaling** : may be useful
  - potentially useful, but...
  - alternative: scale 3D models once after import – maybe that's all you need
- **Non uniform scaling** : may be useful too
  - but very problematic – see later
  - alternative: same as above
- **Shear** : least useful
  - and inconvenient: let's do ourselves a favor and NOT support it

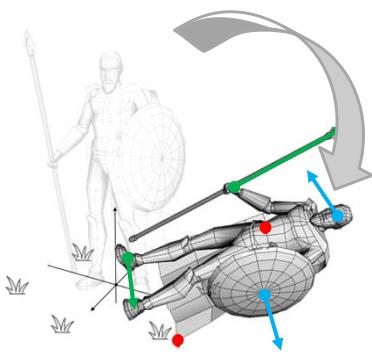
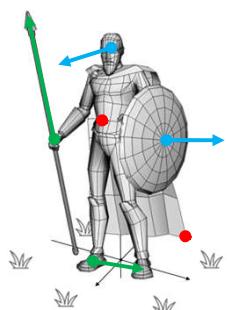
61

Transforms =  
Translations



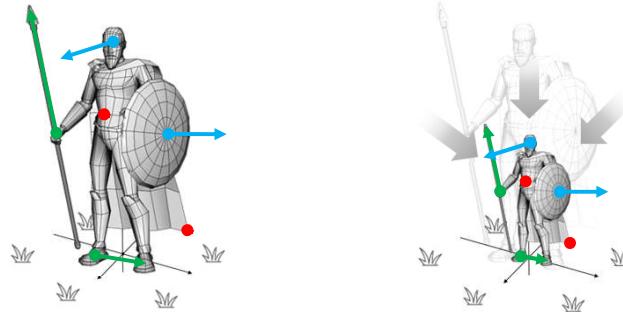
63

Transforms =  
Translations + Rotations



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## Transforms = Translations + Rotations + Scalings



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## Effect of a transform on different things

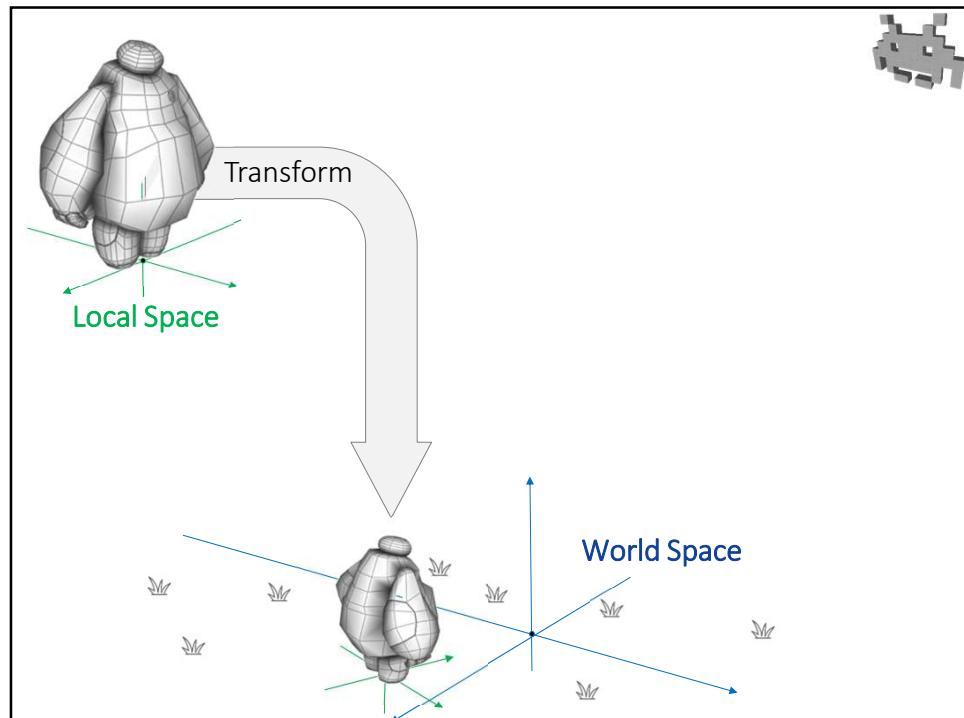
- Rotation:
  - Applies to **Points, Vectors, Versors** (just the same)
- Uniform Scaling:
  - Applies to **Points, Vectors** (just the same)
  - Leaves **Versors** unaffected!
- Translation:
  - Applies to **Points** only.
  - Leaves **Vectors, Versors** unaffected!

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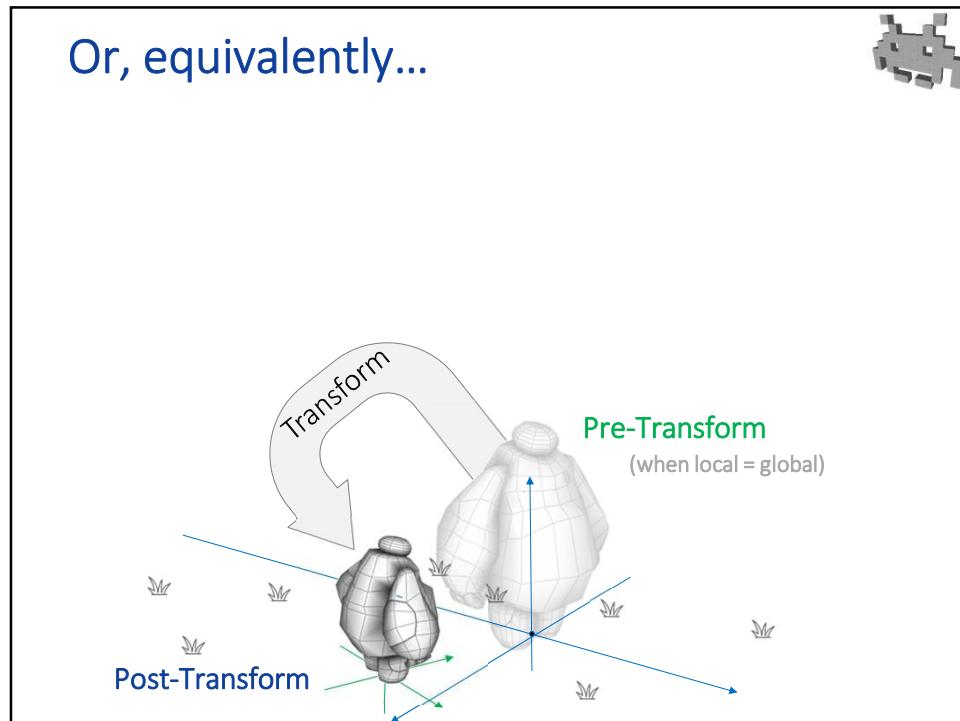
## Effect of a transform on different things

translate:			
rotate:			
points:	✓	✓	✓
vectors:	✓	✓	✗
versors:	✓	✗	✗

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## 2 equivalent ways to see a transformation

**Translation**  
*the act of displacing (moving) an object*

OR

**Position**  
*where the object currently is*

**Rotation**  
*the act of spinning an object, reorienting it*

OR

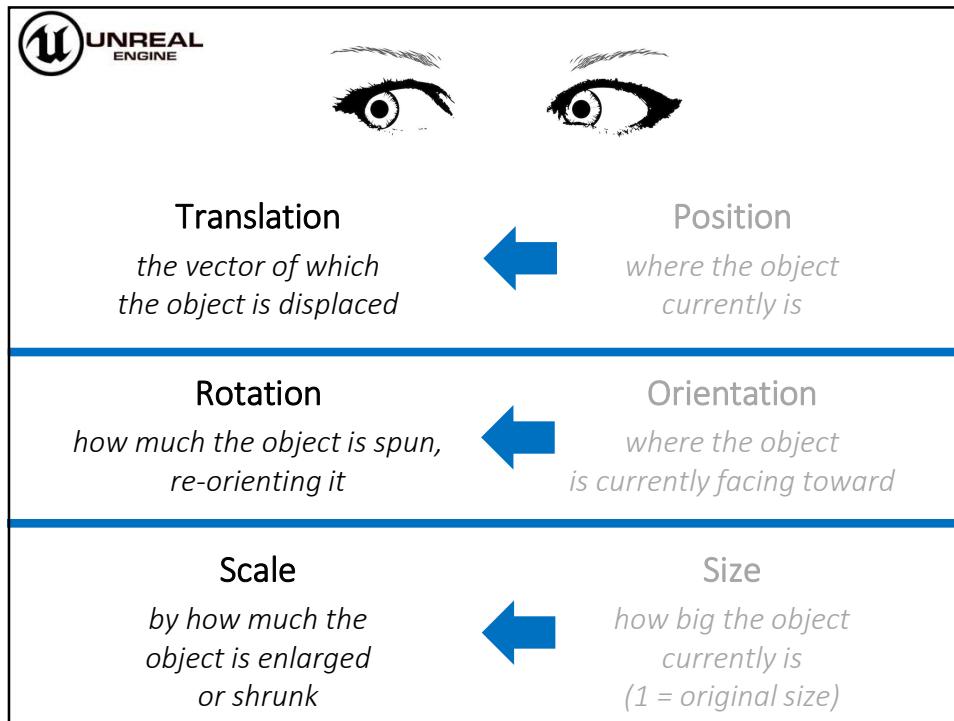
**Orientation**  
*how object is currently oriented, its facing*

**Scaling**  
*the act of enlarging or shrinking an object*

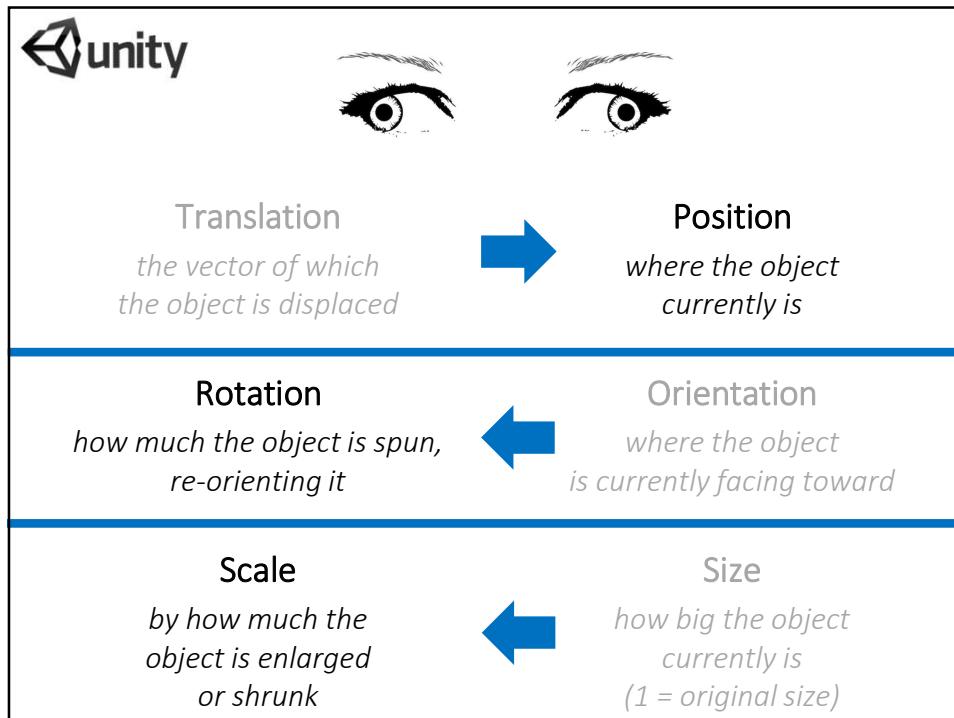
OR

**Size**  
*how big the object currently is (1 = original size)*

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## Popular pick for game engines (Unity, Unreal...)

- Rot + Transl. + Non-Uniform scaling
  - how-to: scaling is a vector (not a scalar)
  - you get:  
an unnamed subset of affine transforms.
- not closed to combination - ☹ ugly!
  - that's why scale of a cumulated transf. is read-only, and approximate
- but, non-uniform scaling deemed too useful to pass
  - just remember to avoid them if possible
  - e.g. act on 3D models on import – easier, sounder
  - scaling is applied *before* rot and transl. (i.e. «in local space»)
  - if you do use them, apply them early  
i.e. in the leaves of the scene graph tree– see later



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## A transformation class (example) with application methods

```
class Transform {  
    // fields:  
    float s;      // scaling/size  
    Rotation r; // rotation/orientation  
    Vector3 t;   // translation/position  
  
    // methods:  
    Vector3 apply_to_point( Vector3 p ) {  
        return r.apply_to( s * p ) + t;  
    }  
    Vector3 apply_to_vector( Vector3 v ) {  
        return r.apply_to( s * v ); // no traslation  
    }  
    Vector3 apply_to_versor( Vector3 n ) {  
        return r.apply_to( n ); // no transl or scaling!  
    }  
}
```

used as a black-box for now



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## A transformation class (example)



- Methods:

```
class Transform {  
    // fields:  
    ...  
    // methods:  
    Vec3 apply_to_point( Vec3 p );  
    Vec3 apply_to_vector( Vec3 v );  
    Vec3 apply_to_verseor( Vec3 d );  
  
    Transform compose_with( Transform t );  
    Transform inverse();  
    Transform interpolate_with( Transform t , float k );  
}
```

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## Code example: interpolate (or «blend», «mix», «lerp» ...)



Just interpolate the three components

```
class Transform {  
    // fields:  
    float s;      // uniform scale  
    Rotation r;  // rotation  
    Vec3 t;       // translation  
  
    Transform mix_with( Transform b , float k ){  
        Transform result;  
        result.s = this.s * k + b.s * (1-k);  
        result.r = this.r.mix_with( b.r , k );  
        result.t = this.t * k + b.t * (1-k);  
        return result;  
    }  
}
```

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## Code example: inverse

It's not enough to invert the 3 components!

```
class Transform {  
    // fields:  
    float s;      // uniform scale  
    Rotation r;  // rotation  
    Vec3 t;       // translation  
  
    Transform inverse(){  
        Transform res;  
        res.s = 1.0f / this.s;  
        res.r = this.r.inverse();  
        res.t = -this.t;  
  
        return res;  
    }  
}
```

WRONG!

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## Code example: inverse

```
class Transform {  
    // fields:  
    float s;      // uniform scale  
    Rotation r;  // rotation  
    Vec3 t;       // translation  
  
    Transform inverse(){  
        Transform res;  
        res.s = 1.0f / this.s;  
        res.r = this.r.inverse();  
        res.t = -this.t;  
  
        res.t = res.r.apply( res.t*res.s );  
  
        return res;  
    }  
}
```

FIX!  
Takes in account  
the fixed order  
(1st scale,  
then rotate,  
then translate)

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## Inverting a transformation: the math

- Current transform:  $f(p) = R(s p) + \vec{t}$   
  
 the rotation →  
 the scaling →  
 the translation →
- Inverse transform:  $f^{-1}(p) = R'(s' p) + \vec{t}'$   
 the new rotation ↑  
 the new scaling ↑  
 the new translation ↑
- Important: the order of operations is the same!
- The problem: how to find  $R'$ ,  $s'$ ,  $\vec{t}'$  such that  
 if  $f(p) = q$   
 then  $f^{-1}(q) = p$

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$$f(p) = q$$

$$f^{-1}(q) = p$$

$$q = R(s p) + \vec{t}$$

↔

$$q - \vec{t} = R(s p)$$

↔ apply inverse rot on each side

$$R^{-1}(q - \vec{t}) = s p$$

↔

$$R^{-1}(q - \vec{t})/s = p$$

↔ distribute rot (rot are linear funct)

$$R^{-1}(q)/s + R^{-1}(-\vec{t})/s = p$$

↔ not valid for non-uniform scalings!

$$R^{-1}\left(\frac{1}{s}q\right) + R^{-1}(-\vec{t})/s = p$$

the new rotation

the new scaling

the new translation

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## Code example: compose (or, cumulate)

It's not enough to compose the 3 components

```
class Transform {  
    // fields:  
    float s;  
    Rotation r;  
    Vec3 t; // translation  
  
    Transform cumulateWith( Transform b ) {  
        Transform result;  
        result.s = this.s * b.s;  
        result.r = this.r.cumulateWith( b.r );  
        result.t = this.t + b.t;  
        return result;  
    }  
}
```

WRONG!

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## Code example: compose (or, cumulate)

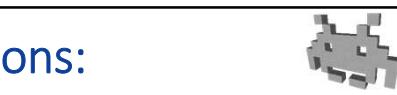
```
class Transform {  
    // fields:  
    float s;  
    Rotation r;  
    Vec3 t; // translation  
  
    Transform cumulateWith( Transform b ) {  
        Transform result;  
        result.s = this.s * b.s;  
        result.r = this.r.cumulateWith( b.r );  
        result.t = b.r.apply( this.t * b.s ) + b.t;  
        return result;  
    }  
}
```

correct

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## Composing transformations: the math

- Input transforms:  $f_A(p) = \mathbf{R}_a(s_a p) + \vec{t}_a$   
 $f_B(p) = \mathbf{R}_b(s_b p) + \vec{t}_b$
- Composite transf:  $f_{AB}(p) = \mathbf{R}'(s' p) + \vec{t}'$
- Observe: the order of operations is the same, and  $f_{AB}$  still uses one rotation, scaling & translation
- The problem: how to find  $\mathbf{R}'$ ,  $s'$ ,  $\vec{t}'$  such that  $f_{AB}(p) = f_A(f_B(p))$   
(first B, then A)



stored transformation components

↓      ↓      ↓

$f_A(p) = \mathbf{R}_a(s_a p) + \vec{t}_a$

$f_B(p) = \mathbf{R}_b(s_b p) + \vec{t}_b$

↑      ↑      ↑

output transformation components

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$$f_{AB}(p)$$

$$= f_A(f_B(p))$$

=

$$f_A(\mathbf{R}_b(s_b p) + \vec{t}_b)$$

=

$$\mathbf{R}_a(s_a(\mathbf{R}_b(s_b p) + \vec{t}_b)) + \vec{t}_a$$

=

$$\mathbf{R}_a(s_a \mathbf{R}_b(s_b p) + s_a \vec{t}_b) + \vec{t}_a$$

← distribute scaling  $s_a$

$$\mathbf{R}_a(s_a \mathbf{R}_b(s_b p) + \mathbf{R}_a(s_a \vec{t}_b) + \vec{t}_a)$$

← distribute rotation  
(they are linear func)

$$\mathbf{R}_a(\mathbf{R}_b(s_a s_b p) + \mathbf{R}_a(s_a \vec{t}_b) + \vec{t}_a)$$

← not valid for  
non-uniform scalings!

$$[\mathbf{R}_{ab}](s_a s_b p) + [\mathbf{R}_a(s_a \vec{t}_b) + \vec{t}_a]$$

the output rotation  
(composition of rot func)

the output scale

the output translation  
(a vector)

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## Example: in unity



Class `Transform` with methods:

- `Vector3 TransformPoint(Vector3 pos)`
- `Vector3 TransformVector(Vector3 vec)`
- `Vector3 TransformDirection(Vector3 dir)`

No “invert” method but:

- `Vector3 InverseTransformPoint(Vector3 pos)`
- `Vector3 InverseTransformVector(Vector3 vec)`
- `Vector3 InverseTransformDirection(Vector3 dir)`

Mix: manually mix rotation, scaling, translation components

Cumulation: automatic when needed: see lecture on scene graph

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## Example: in unreal engine



Class `FTransform` with methods:

- `FVector TransformPosition( FVector pos )`
- `FVector TransformVector( FVector vec )`
- `FVector TransformVectorNoScale( FVector dir )`
- `FTransform inverse();`
- `FTransform blend( FTransform a, FTransform b );`
- `void accumulate( FTransform a );`

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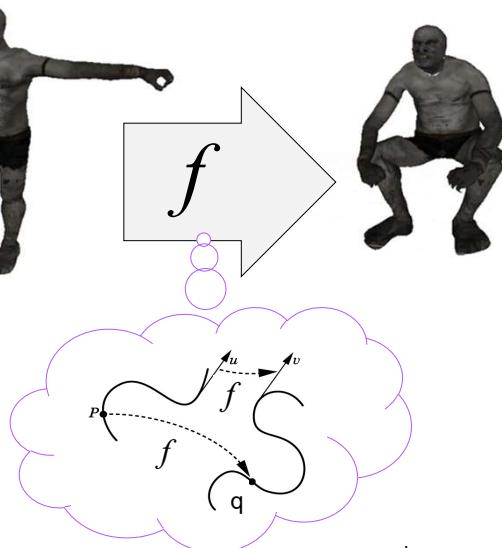
## In conclusion

- if my **3D transformation** is represented as
  - a **scaling** (optional), plus
  - a **rotation**, plus
  - a **translation**
- then I can easily / efficaciously
  - **store** it
  - **apply** it (to points, vectors & versors)
  - **composite** it (with another transformation)
  - **invert** it
  - **interpolate** it (with another transformation)
- ...as long as I can do so with **rotations** !

the subject of  
next lecture

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## What about this transformation?



see lecture on animations ...

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