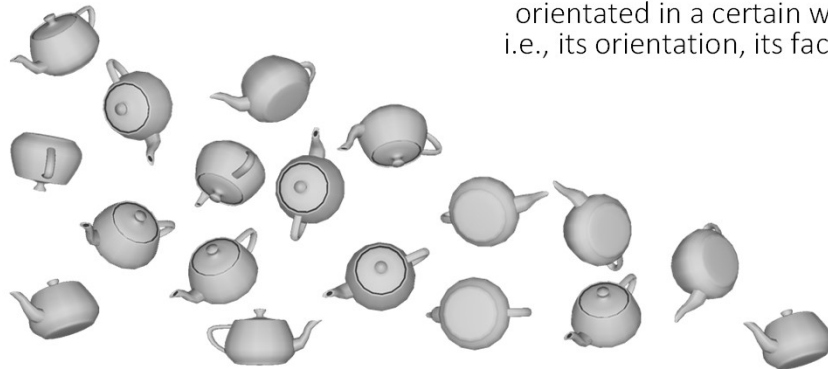




## How to (internally) represent a 3D rotation ?



Used to encode:  
the *act* of spinning  
an object around,  
**but also**  
the *state* of an object to be  
orientated in a certain way,  
i.e., its orientation, its facing



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## Plan for this lecture (and the next two)



- We will discuss the internal representations for 3D rotations
- We want representations that allow easy / efficient
  - **storage**
  - **application** (to points, vectors & versors)
  - **composition** (with another rotation)
  - **inversion**
  - **interpolation** (with another rotation)
  - **assignment** (creation by humans, e.g., by manual specification)
- We will see several solutions, with pros and cons

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## Preamble: representing *translations* in 3D



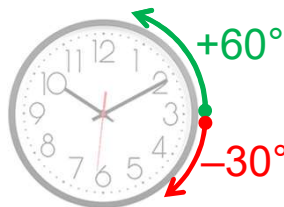
- Trivial:  
displacement vector (3 scalars!)
  - perfect under all criteria  
(exercise: verify)

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## Preamble: representing rotations *in 2D*



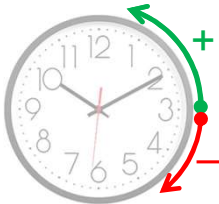
- Trivial!



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### Preamble: representing rotations *in 2D*

- Trivial representation: one angle (a dimensionless scalar)
  - a «pseudo-scalar» (as it changes sign if we mirror the scene)
- Semantic (traditionally):
  - If **positive**: counter-clockwise
  - If **negative**: clockwise
- Choices:
  - unity of measure: degree or radians?
  - which interval? E.g. [0..360] or (-180..+180]



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### Preamble: representing rotations *in 2D*

- Is it convenient to...
  - Store?
    - ✓ *it's one scalar*
  - Apply?
    - ✓ *easy & fast:*  $r_\alpha \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} \cos(\alpha) x - \sin(\alpha) y \\ \sin(\alpha) x + \cos(\alpha) y \end{pmatrix}$ 
      - two constants (for a given  $\alpha$ )
  - Invert?
    - ✓ *Just flip the sign*
  - Cumulate?
    - ✓ *Just sum the two angles (modulo 360°)*
  - Design / manually assign?
    - ✓ *easy.* and N-E = 45°
    - E.g. 0° = east. 90° = north. 180° = west. 270° = South.

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**Preamble:**  
representing rotations *in 2D*

- Is it convenient to...
  - Interpolate?  $\alpha (1 - t) + \beta t$
  - Can we just...  $\text{mix}(\alpha, \beta, t)$
  - Example: mid-way between North =  $90^\circ$  and West =  $180^\circ$   
 $\text{mix}(90^\circ, 180^\circ, 0.5) = 135^\circ = \text{NW}$  ... checks out!
  - But consider this case:

Time = 1                      Time = 2                      Time = 3

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**Preamble:**  
representing rotations *in 2D*

- Which is the correct interpolation? *E.g. in an animation*

Time = 1                      Time = 2                      Time = 3

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## Preamble: representing rotations *in 2D*



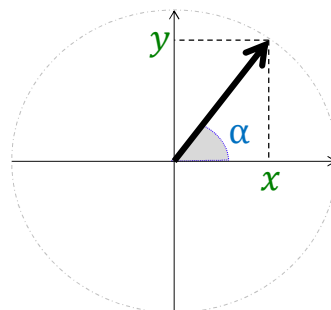
- Is it convenient to... interpolate? ✓ Yes, but,
- Problem: angles  $\alpha$  and  $\alpha+360^\circ$  are **equivalent**  
 $\alpha \cong \alpha + 360 \cong \alpha + k 360^\circ$  (any  $k \in \mathbb{Z}$ )
- General solution:  
to interpolate between two rotations  $\alpha$  and  $\beta$  ...
  1. Find  $\beta'$  **equivalent** to  $\beta$  that is most similar to  $\alpha$  (here: choose between  $\beta$  and  $\beta - 360^\circ$ )
  2. Linear interpolation (mix) between  $\alpha$  and  $\beta'$  (not  $\beta$ )
- We will encounter the same problem/solution again...

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## Preamble: representing rotations *in 2D*



- How to go *angle*  $\rightarrow$  *2D-versor*



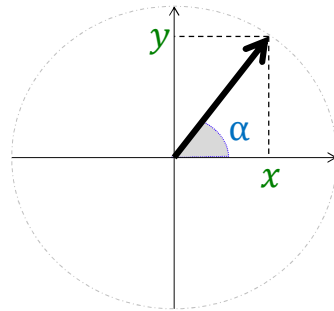
$$\vec{v} = \begin{pmatrix} \cos(\alpha) \\ \sin(\alpha) \end{pmatrix}$$

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Preamble:  
representing rotations *in 2D*



- How to go *2D-versor*  $\rightarrow$  *angle*



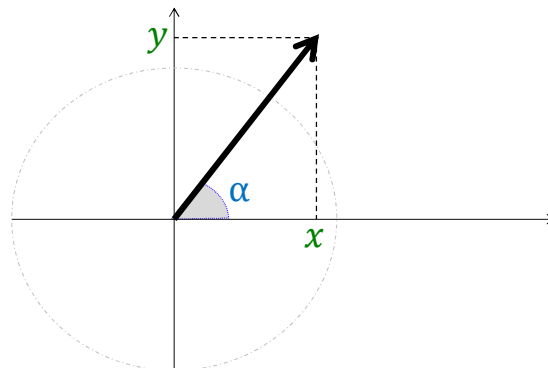
pro tip: use `atan2` in any language:  $\alpha = \text{atan2}(y, x)$

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Preamble:  
representing rotations *in 2D*



- How to go *2D-vector*  $\rightarrow$  *angle*



still use `atan2` ! The scale is irrelevant:  $\alpha = \text{atan2}(y, x)$

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### 3D rotations: how many dimension?

etc etc

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### 3D rotations: how many dimension?

(clearly, they include the identity too)

Answer: 3 DOF (degrees of freedom).  
i.e., rotations in 3D are a “3-dimensional group”.  
(which is called “SO(3)”)

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### Solution 1: rotations are 3x3 matrices

- A rotation = a 3x3 matrix
- Application = matrix / vector multiplication

orthonormal, determinat = +1

input vector, point, versor (cartesian coords)

rotated vector, point, versor (cartesian coords)

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### Rotations as 3x3 matrices

- Rotation = the 3x3 submatrix of a 4x4 «pure» rotation affine matrix

No translation.  
i.e.: the origin stays fixed.  
i.e.: the rotation axis passes through the origin

Note: by combining with translations, we can obtain rotations around any point

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## Rotations as 3x3 matrices



- Wasteful in RAM (9 scalars, versus a minimum of 3)
- Easy to apply (matrix-vector prod: 9 mults)
- Relat. easy to compose (matrix-matrix prod: 27 x mult)
- Interpolate: problematic:

why?

$$k R_0 + (1 - k) R_1 = M$$

NOT a rotation  
(NOT orthonormal)

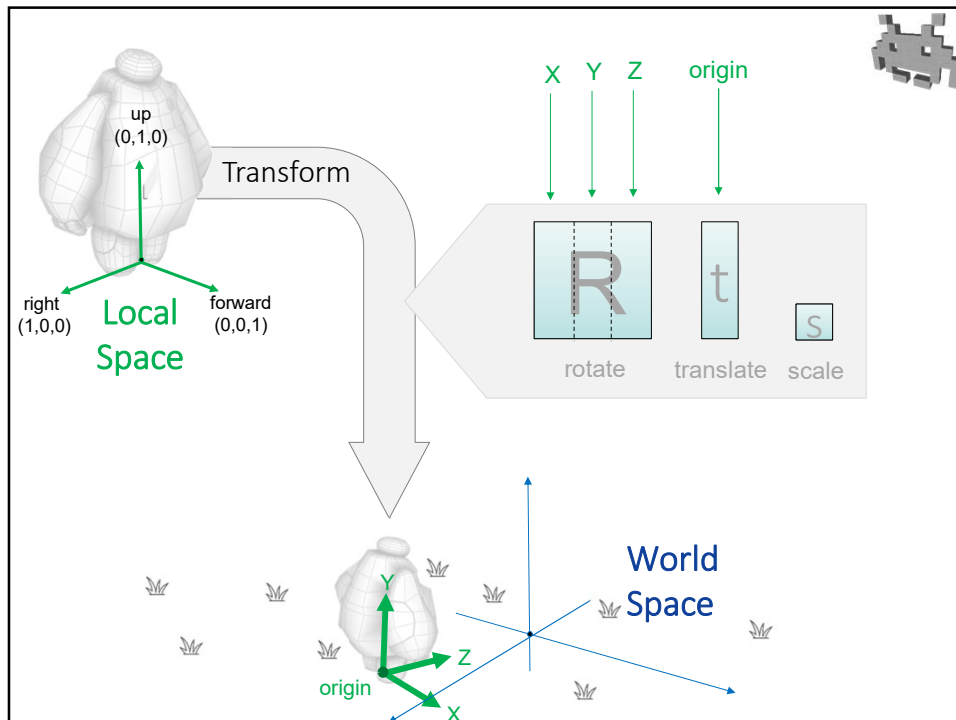
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## Rotations as 3x3 matrices (9 scalars): compositions



- Multiplying matrices composites the rotation
  - remember: neither matrix-matrix product, nor composition of 3D rotations, is commutative!
- e.g.:  $R_{TOT} = R_0 \cdot R_1$ 
  - rotate as  $R_1$  followed by  $R_0$
  - with  $R_0 \cdot R_1$  rotation matrices
  - i.e. orthonormal matrices with  $\det = 1$
- $R_{TOT}$  is a rotation matrix too, *in theory*
  - ⚠ in practice, approximation errors can break that
    - especially after long sequences of compositions.

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## Rotations as 3x3 matrices (9 scalars)

### A useful property

- its three **columns** encode the three **versors** representing the **X, Y, Z** axis of the *local* space expressed in global space
  - i.e. the world-space versors representing local **right**, **upward**, **forward** (in Unity) or local **forward**, **right**, **upward** (in Unreal engine)

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### Rotations as 3x3 matrices: Inversion

$$\begin{matrix} \hat{x} \\ \hat{y} \\ \hat{z} \end{matrix} \cdot \begin{matrix} \hat{x} & \hat{y} & \hat{z} \end{matrix} = \begin{matrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{matrix}$$

$\hat{x} \cdot \hat{x} = \|\hat{x}\|^2$

$\hat{y} \cdot \hat{z}$

$$R^T \cdot R = I$$

- For rotation matrices:  
to transpose = to invert

Just swap three pairs of elements!

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### Rotations as 3x3 matrices (9 scalars) A useful property

- its three **rows** encode the three **versors** representing the **X, Y, Z** axis of the *global* space expressed in local space
  - i.e. the three local-space versors representing the global **eastward**, **upward**, **northward** directions (for example)

The columns of its transposed

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### Recap: a score sheet :-)



	3x3 Matrix	
Space efficient? (in RAM, GPU, storage...)	★☆☆☆☆	9 scalars
Efficient / easy to	Apply (to points/vectors)	★★★★☆ 9 products (3 dot products)
	Invert (produce inverse)	★★★★★ just transpose (three swaps)
	Composite (with another rotation)	★★☆☆☆ Matrix multipl (9 dot products) Numerical errors
	Interpolate (with another rotation)	★☆☆☆☆ Introduces shear/scale
	Intuitive? (e.g., to manually set)	★☆☆☆☆ Impossible to manually set
Notes...	Useful to extract local axes.	

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### Representations of 3D rotations



- 3x3 matrices
- Euler angles
  - the most intuitive way to express a rotation
  - e.g., well understood by digital artists!

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### Rotations as Euler angles (3 scalars)

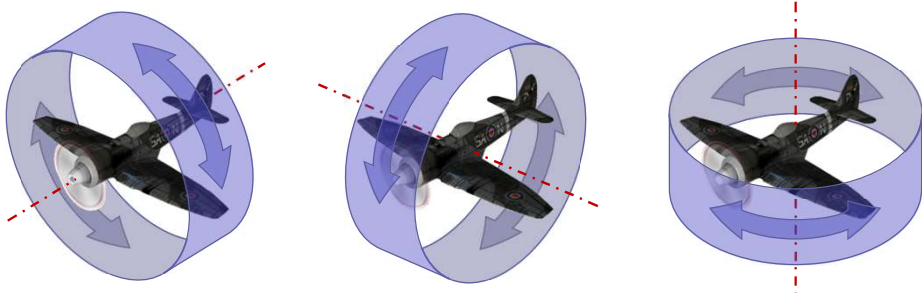
- Any 3D rotation can be expressed as:
  - a rotation around X axis (by  $\alpha$  degrees), followed by:
  - a rotation around Y axis (by  $\beta$  degrees), followed by :
  - a rotation around Z axis (by  $\gamma$  degrees):
- Angles  $\alpha \beta \gamma$  :  
“Euler angles” of a specific rotation
  - therefore: the “coordinates” of that rotation

this order (X-Y-Z) is chosen arbitrary but once and for all!  
(in a given game engine / lib / etc)

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### Rotations as Euler angles (3 scalars)

- In nautical / aeronautical language, the three angles have names:

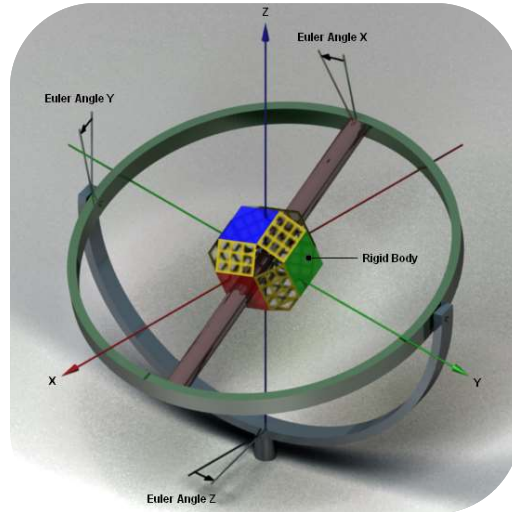


roll  
( *rollio* )      pitch  
( *beccheggio* )      yaw  
( *imbardata* )

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## Rotations as Euler angles (3 scalars)

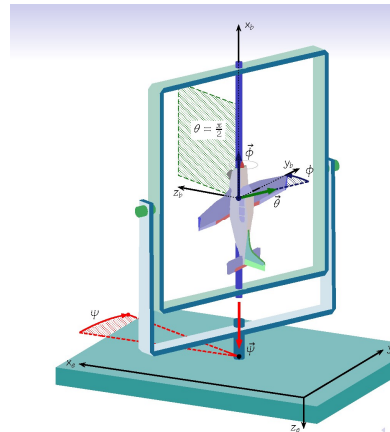
- A physical implementation: “three axes globe”



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## Rotations as Euler angles (3 scalars)

- Is it 1:1 ?
  - 1 rotation  $\Leftrightarrow$  1 euler angle triplet ?
- Almost
  - assuming angles are properly bounded (exercise: how?)
- Ugly exception: **“GIMBAL LOCK”**
  - when 1st rotation makes the axes of the next two axes *coincide*
  - this cannot be avoided, no matter how axes are chosen



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## Rotations as Euler angles (3 scalars)

- Conciseness: perfect! 3 scalars for 3 DOF
- Application : a bit work-intensive
  - three rotations in succession
- Interpolation : you can do that...
  - just interpolate the three angles
  - ⚠ (remember to always “pick the *shortest path*” whenever interpolating angles: that is, must take in account the  $\alpha \approx \alpha + 360 k$  equivalence)  
...but results won't always be nice !
- Composite / invert: not easy nor immediate...
 

exercise: why just summing / flipping the three angles won't work?

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## Comparing representations (so far)

	3x3 Matrix	Euler Angles
Space efficient? (in RAM, GPU, storage...)	★☆☆☆☆ 9 scalars	★★★★★ 3 scalars (even as small int!)
Efficient / easy to	Apply (to points/vectors)	★★★★☆ 9 products (3 dot products)
	Invert (produce inverse)	★★★★★ just transpose
	Composite (with another rotation)	★★☆☆☆ Matrix multipl (9 dots) Numerical errors
	Interpolate (with another rotation)	★☆☆☆☆ Introduces shear/scale
Intuitive? (e.g. to manually set)	★★☆☆☆	★★★★★ roll yaw pitch
Notes...	Free extra shear + scale. Useful to extract local axes.	<b>GIMBAL LOCK</b>

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## Representations of 3D rotations



- 3x3 matrices
- Euler Angles
- Axis + angle
  - Most common way in physics  
(and *game* physics)

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## Rotations as axis & angle



- Any rotation can be expressed as:
  - *one* rotation by some **angle**  
around some **axis**
- **Angle**: a scalar
- **Axis**: a versor (3 scalars)
  - note: the axis is considered to pass around the origin.  
For the more general case, combine with translations.

appropriately  
chosen

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## Rotations as axis & angle



- Compactness: good, 4 scalars
  - Just one more than bare minimum
- Ease of application: not too good ☹️
  - Ways include: switch to 3x3 matrix (exercise: how to)
  - Switch to a quaternion: see later
  - “Rodrigues' rotation formula” (look it up)
  - Note: they all require trigonometric function (sin, cos)
- Invert: super easy / quick
  - just flip the angle sign *or* the axis vector
  - question: what if both?  
answer: Rotation is inverted twice:  
it's back to the same rotation again! 😞

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## Rotations as axis & angle: equivalent representations



- Therefore:  $\left( \overbrace{a_x, a_y, a_z}^{\text{axis}}, \overbrace{\alpha}^{\text{angle}} \right)$   
and  $\left( -a_x, -a_y, -a_z, -\alpha \right)$   
represent the same rotation
- Any rotation has two **equivalent representations** in this format
  - except the identity, which has infinitely many:  
angle  $\alpha = 0$ , with any axis  $\hat{a} = (a_x, a_y, a_z)$
- This is always a bit inconvenient!
  - Complicates interpolation (“shortest path” necessary)
  - Complicates testing for equality/similarity, etc.

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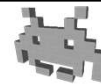
## Rotations as axis & angle



- Compositing rotations:  
not at all immediate or easy to do ☹️
- Interpolating rotations: very good!
  - Just interpolate axis and angle separately
  - Some *caveat*:
    - ⚠️ 1) *shortest path* for axes: first, flip either rotation (both its axis & angle) when this makes the two axes closer (how to test?)
    - ⚠️ 2) *shortest path* for angles: as usual, angles must then be interpolated... «modulo 360°»,
    - ⚠️ 3) interpolate between axes requires SLERP or NLERP (when interpolating versors)
    - ⚠️ 4) beware degenerate cases (opposite axes); point 1 avoids this
  - best results!  
Usually produces the “expected” intermediate rotation

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## Rotations as axis and angle, variant: as axis *times* angle



- axis:  $\hat{\mathbf{a}}$  (versor,  $\|\hat{\mathbf{a}}\| = 1$ )
  - angle:  $\alpha$  (scalar)
  - can be represented as one vector  $\vec{\mathbf{a}}$  (3 scalars)  
 $\vec{\mathbf{a}} = \alpha \hat{\mathbf{a}}$ 
    - angle  $\alpha = \|\vec{\mathbf{a}}\|$
    - axis  $\hat{\mathbf{a}} = \vec{\mathbf{a}} / \alpha$
    - note: when  $\alpha = 0$ , the axis is lost... it's ok, we don't need it!
  - more compact, but otherwise equivalent
    - actually, better:  
we now have only 1 representation per rotation (why?)  
... including the identity (why?)
- Sometimes called «pseudo-vector» because it flips sign if the world is mirrored

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### Rotation. representations: score sheet (continued)

	axis , angle	
Space efficient? (in RAM, GPU, storage...)	★★★★☆	4 scalars (or 3) (precision needed)
Efficient / easy to Apply	★★☆☆☆	Requires trigonometry
	★★★★★	Just flip axis OR angle
	★☆☆☆☆	
	★★★★★	
Intuitive? (e.g. to manually set)	★★☆☆☆	Not easy to see which axis to use
Notes...	<i>Best if axis scaled by angle</i>	

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### Representations of 3D rotations

- 3x3 matrices
- Euler angles
- Axis , Angle
- Quaternions

*Next lecture!* →

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