


## Course Plan


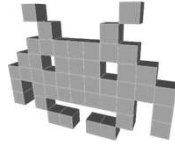


- lec. 1: **Introduction** ●
- lec. 2: **Mathematics** for 3D Games ●●●●●●
- lec. 3: **Scene Graph** ●
- lec. 4: **Game 3D Physics** ●●●● + ●●
- lec. 5: **Game Particle Systems** ▸
- lec. 6: **Game 3D Models** ●●
- lec. 7: **Game Textures** ●● appearance
- lec. 9: **Game Materials** 📍
- lec. 8: **Game 3D Animations** ▸●●●
- lec. 10: **Networking** for 3D Games ●
- lec. 11: **3D Audio** for 3D Games ●
- lec. 12: **Rendering Techniques** for 3D Games ●
- lec. 13: **Artificial Intelligence** for 3D Games ●

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## Univ. degli Studi di Milano

# Materials in videogames



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## Materials in videogames (summary of this lecture)



We will cover two distinct (but related) things:

- **Material model**
  - A descriptor of how a point of a surface reacts to light (mainly: how it reflects it)
  - A set of numerical parameters to be fed to the **lighting equation**
- **Material asset**
  - A data structure (to be associated to a mesh object) describing parameters as varying (or being constant) over a surface;
  - Authored by **material artists!**

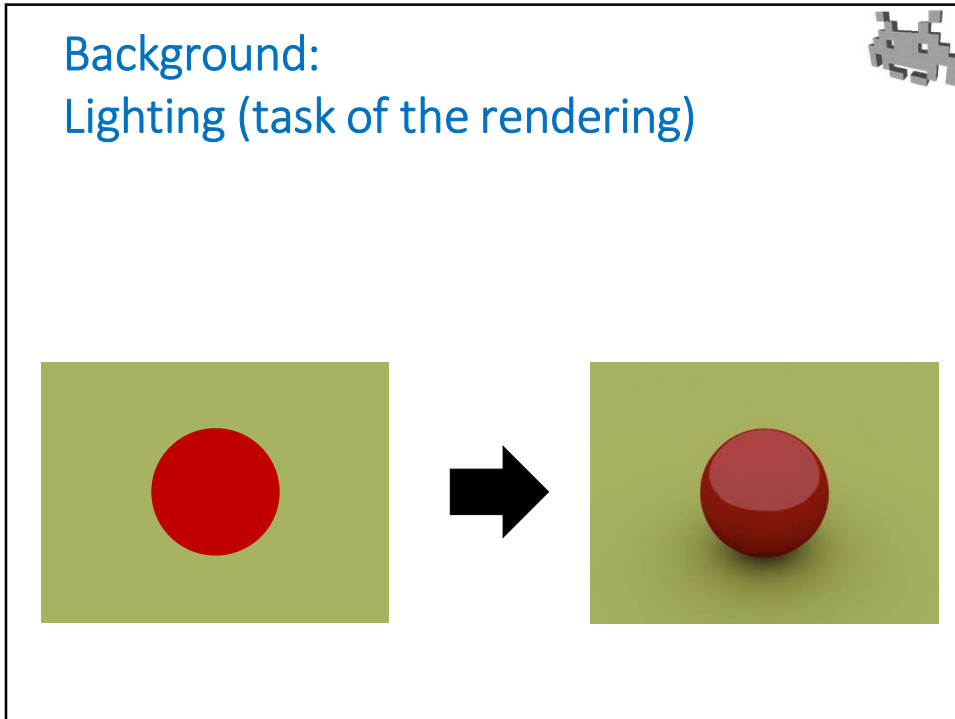
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## ⚠ terminology: «material» has 2 different meanings

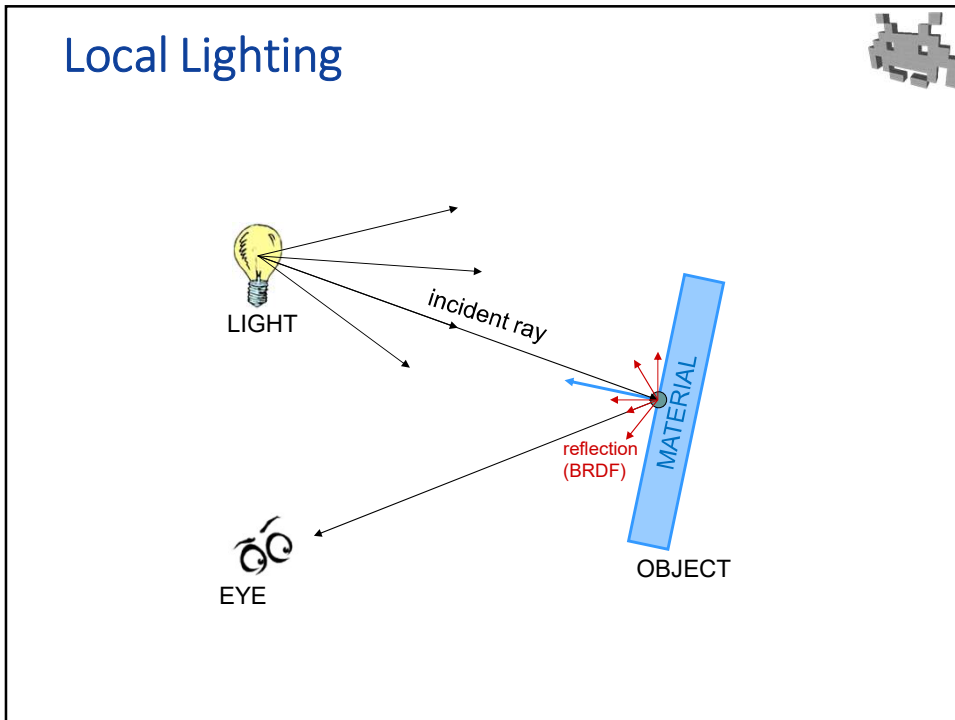


- in Computer Graphics: the material **model**
  - a set of **parameters** describing the behavior of a physical substance (such as “rough plastic” or “polished wood”) to light
  - one input of the (local) lighting equation
  - can include, e.g.: “diffusive color”, “level of shininess”, ...
- in game engines: the material **asset**
  - an asset combining:
    - a set of textures (e.g., diffuse + specular + normal map)
    - a set of shaders (e.g., vertex + fragment shader)
    - a set of global parameters (e.g., glossiness, ambient factors...)
    - a set of rendering flags, such as...  
back-face culling ON/OFF, rendering ordering ON/OFF, ;
  - technically, it encodes the full **status** of the rendering engine when a mesh is being drawn

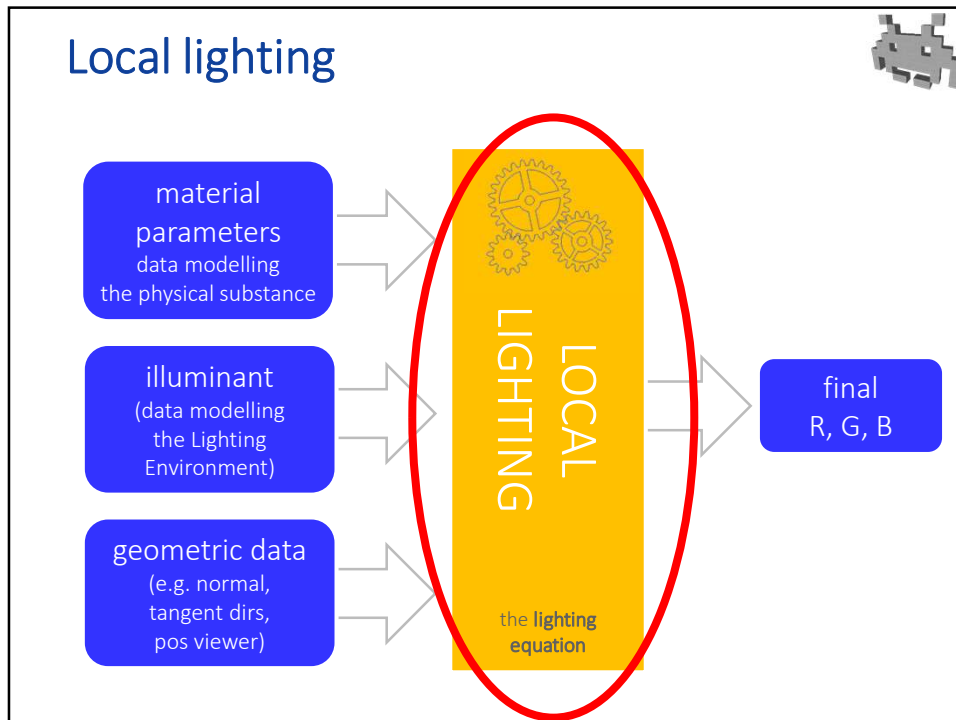
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### Lighting computation in videogames

- **Lighting:**
  - (a task of the rendering engine)
  - computation of the “final” apparent color of objects, as it appears to an observer, as the result of their interaction with light
- It's the evaluation of a given **Lighting Equation**
  - aka a given lighting model. A function.
  - *Output:* the RGB color, ready to be sent to the screen
  - We can split its many *inputs* in three categories... (see next)
  - note: the choice of the lighting model(s) to use in a videogame is an important choice: different trade-off between image quality and computational burden
  - (dynamic lighting must be computed per-pixel, per frame!)

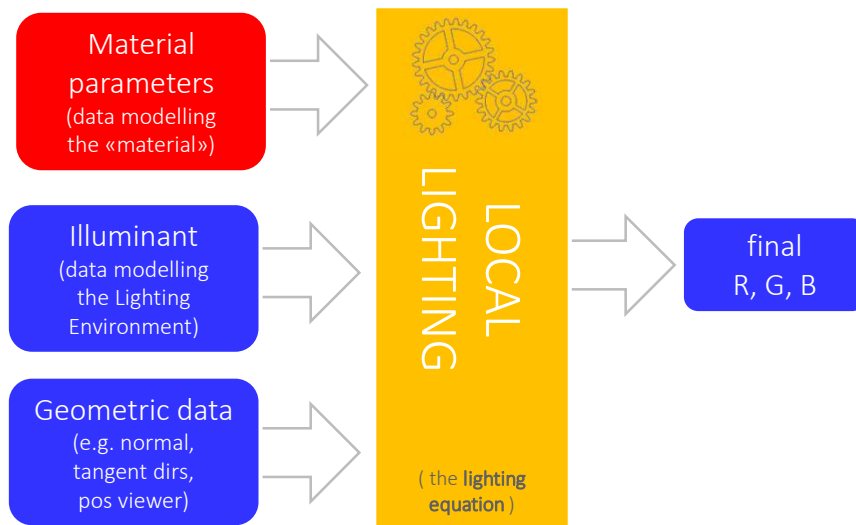
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## Choosing a lighting equation

- Different models can be employed...
  - Phong ← the basic model, historically employed in 3D games for decades
  - Lambert
  - Beckmann
  - Heidrich–Seidel
  - Cook–Torrance
  - Ward (anisotropic)
  - + additional Fresnel effect
- They feature different levels of
  - computational complexity ← Lighting computation is a preponderant part of the burden of the rendering engine
  - realism / quality
  - number of expected **material parameters**
  - variety of **lighting environment** that can be easily supported
  - richness of simulated effects

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## Material model



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### Material Model = a description of how a physical surface / substance reacts to light

- Q: which set of parameters defines a «material»?
- A: it is determined by the chosen lighting equation

material model = the arguments of the lighting equation accounting for the physical substance that the surface is locally made of

- whichever is the answer, each parameter can be stored:
  - as **global parameters**, or
  - per **Vertex** of a Mesh, as **attributes**, or
  - per **Texel** of a texture sheet (maximal freedom)

uniform mat  
non-uniform, aka "spatially varying", material

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### Most basic lighting equation: diffuse lighting («Lambertian»)

- The formula:

dot product but zero if negative!

surface normal

light direction (toward the light)

diffuse-color

light-color or intensity

component-wise product

material parameter

light parameter

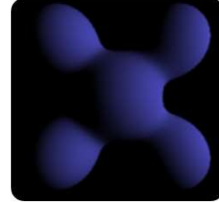
geometry

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## Most basic lighting equation: diffuse lighting («Lambertian»)



- info:
  - it's physically based
  - approximates well dull-looking stuff (e.g., plasters, untreated wood)
  - used as a term in most lighting equations
- expected material parameters:
  - **base color**, (called **albedo**, when grayscale), aka **diffuse color**, **Lambertian color**, or sometimes just **color**
  - remember non uniform materials, the texture used to specify it is called **diffuse-map** or **color map** or just **RGB map**



implementation note: (applies to all formulas)  
the versors in the dot-product must be in the same space! -- e.g., object space or world space

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## The intuition behind the diffuse formula



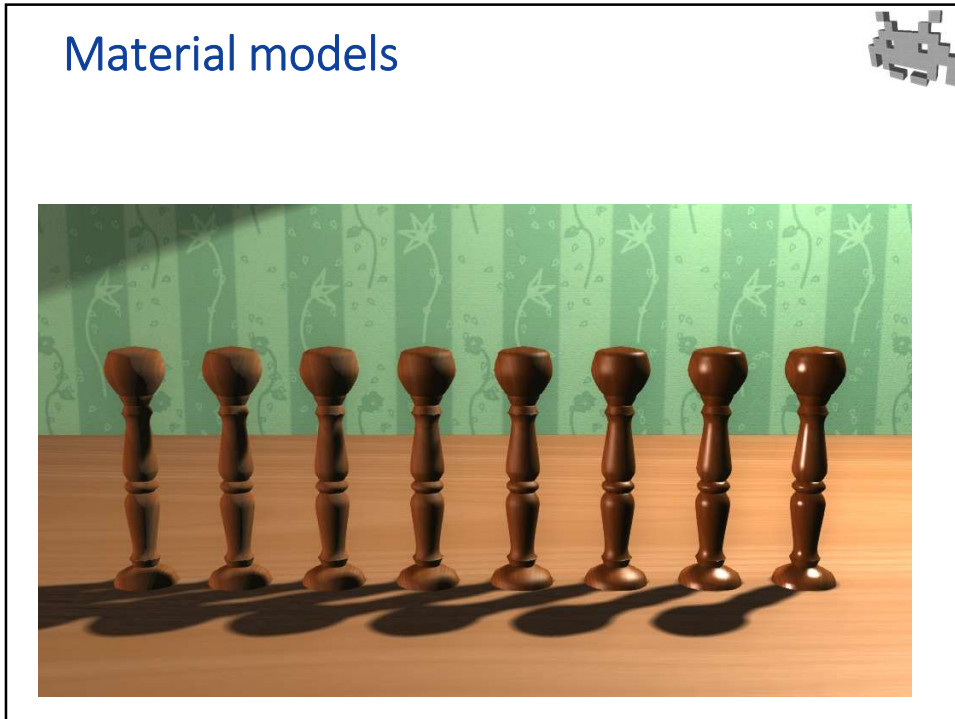
- When the **normal direction** is similar to the **light direction**, then the surface is oriented directly toward the light, so, it looks brighter
  - ... from any viewing direction!
  - this is a view-independent effect: the view-direction is not involved in the formula

The local orientation of the surface  $\hat{n}$

The dot product between versors is a measure of their similarity!

The direction  $\hat{l}$  the light is coming from

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## Phong lighting equation

repeat and sum for each light source
add only once

diffuse term
specular term
ambient term
emission term

$$(\hat{n} \cdot \hat{L}) \begin{pmatrix} d_R \\ d_G \\ d_B \end{pmatrix} \otimes \begin{pmatrix} L_R \\ L_G \\ L_B \end{pmatrix} + (\hat{n} \cdot \hat{H})^E \begin{pmatrix} s_R \\ s_G \\ s_B \end{pmatrix} \otimes \begin{pmatrix} L_R \\ L_G \\ L_B \end{pmatrix} + \begin{pmatrix} a_R \\ a_G \\ a_B \end{pmatrix} \otimes \begin{pmatrix} A_R \\ A_G \\ A_B \end{pmatrix} + \begin{pmatrix} e_R \\ e_G \\ e_B \end{pmatrix}$$

$\text{nlerp}(\hat{V}, \hat{L}, 0.5)$   
 the «half-way» vector

material parameter
  light parameter
  geometry

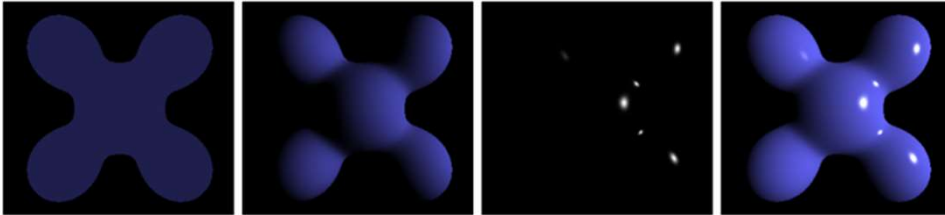
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## Phong lighting equation

(also referred to as basic lighting equation)

- It's the sum of 3 terms:



ambient + diffuse (or "Lambertian") + specular (or "Phong") = final

plus a constant additional term ("emission"), only for objects emitting light

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## The material Model of the Phong lighting equation

- The historical "OpenGL material"
- This **Lighting Equation** is defined as the sum of 4 terms:
  - "Ambient" + "Diffuse" + "Specular" + "Emission"
- The material is... a color multiplier for each term, therefore:
  - "Ambient" color
  - "Diffuse" color (aka "Base" color, aka "Albedo")
  - "Specular" color (aka "Highlight" color)
  - "Emission" color — Often omitted, as it's zero for most materials (only for stuff emitting light – otherwise 0,0,0)
  - plus, one "Specular Exponent", aka "glossiness" or "shininess" (a scalar  $\geq 1$  and  $< 128$ )

distinct multipliers for R, G and B

technically, only if it's gray-scale

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### A basic lighting equation: ambient term

The diagram illustrates the ambient lighting equation. It shows two vectors: a material parameter vector  $\begin{pmatrix} a_R \\ a_G \\ a_B \end{pmatrix}$  (green) and an ambient light color or intensity vector  $\begin{pmatrix} A_R \\ A_G \\ A_B \end{pmatrix}$  (yellow). These are multiplied component-wise, indicated by the  $\otimes$  symbol and the label "component-wise product". The result is a dark blue cross-shaped shadow on a black background. A legend identifies the colors: green for material parameter, yellow for light parameter, and blue for geometry. A small 3D model of a character is in the top right corner.

component-wise product

ambient light color or intensity

ambient-color

material parameter

light parameter

geometry

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### The intuition behind the ambient-term formula

- A bit of light will reach this point of the surface from *all* directions (so, surface normal does not count from this)
- In first approximation, this is proportional to:  
how exposed is this point of the surface (a constant),  
and  
how much light is around overall (another constant)

A small 3D model of a character is visible in the top right corner of the slide.

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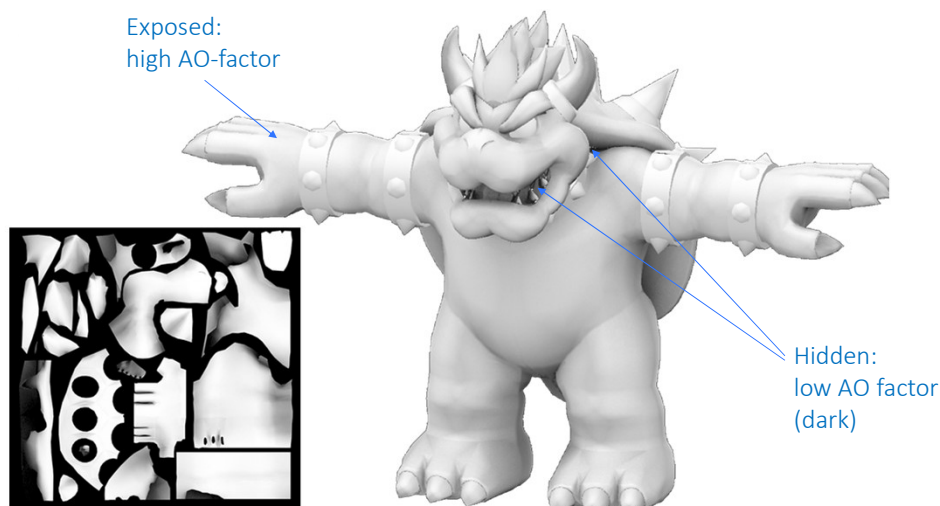
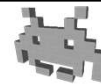
## A basic lighting equation: ambient term: info



- based on the assumption: “a bit of light reaches the object from every direction”
  - e.g., from light bounces,
  - e.g. from minor, unmodelled light sources
- without it, things not directly lit by lights are black (and it looks ugly)
  - and it’s very simple to include in the equation, so why not
- uses parameters in the material:
  - **ambient-color** (RGB)
  - or, **ambient-factor** (scalar),  
then  $\text{ambient-color} = \text{diffuse-color} \cdot \text{ambient-factor}$
  - also called **Ambient Occlusion** factor (AO)
- just like any material parameter, it can be :
  - stored in textures: **AO map** (typically baked)
  - stored in vertices as attributes
  - it can also be computed on the fly (see SSAO, last lecture)

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## Baking AO map for a model



AO map (baked)

NOTE: this requires UV unwrapping (injective UV-map),  
like any baked texture

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## The specular term explained (aka the «Blinn-Phong» equation)

- In formulas:

specular exponent

dot product  
but zero if negative!

surface normal

“half-way” vector:  
 $\hat{H} = \text{nlerp}(\hat{V}, \hat{L}, 0.5)$

view direction

specular-color

light-color / intensity

component-wise product

material parameter (green circle)

light parameter (yellow circle)

geometry (blue circle)

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## The intuition behind the specular-term formula

- When the **normal direction** matches the average of **light direction** and **view direction**, the light is reflected straight toward the eye, so, we see a bright reflection on the object
- By exponentiating the factor, I reduce it quickly toward 0, unless it was 1 or close
  - So, I only keep the really good matches

The local orientation of the surface  $\hat{n}$

The dot product between vectors is a measure in 0 to 1 of their similarity

The direction  $\hat{L}$  the light is coming from

it's so bright!

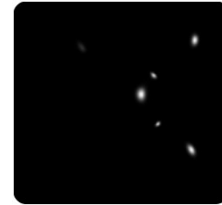
a smooth surface

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## The specular term

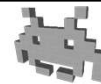


- info:
  - **not** physically based
  - not even energy conserving
  - basically, just a trick
  - simulates reflections (“highlights”)
- material parameters used:
  - **specular color**  
determines the intensity and color of the highlight  
sometimes: it’s diffuse color x a constant  
often  $> 1$  – oversaturated highlight
  - **specular exponent** (or “glossiness”)  
determines the SIZE of the highlight  
larger numbers  $\rightarrow$  smaller highlight
- If stored in a texture, it is called:
  - **specular map** and **glossiness map**
  - e.g., a 4-channel texture can store: RGB spec color + glossiness



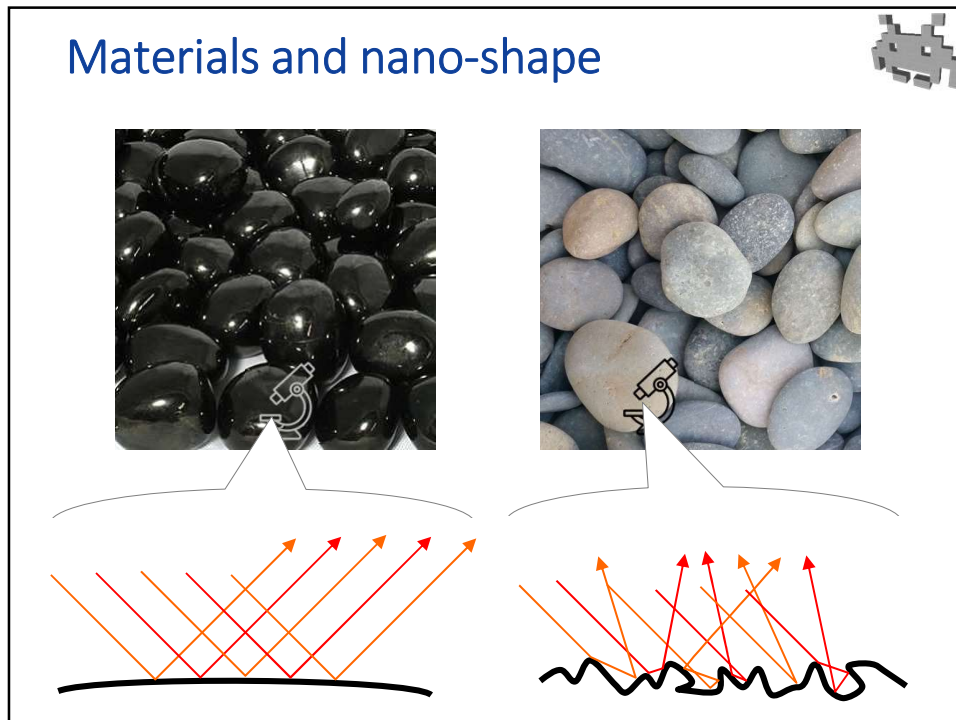
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## Material Model: which physical characteristics does it represent?



- 1) The physical **substance** (of a point on the surface)
  - Does it absorb photons, does it bounce them away?
  - Is it transparent to photons? (i.e. can photons pass through it?)
  - How does that depend on the frequency, that is, color of the photon? (that’s what gives objects their “color”!)
  - These things depend, in turn, on electric conductivity
  - E.g.: metals look shiny, because ( $\Delta$  over-simplification warning  $\Delta$ ) light bounces off a cloud of shared electrons surrounding them
- 2) The **micro-shape** of the surface (around a point), e.g.
  - A polished (smooth, at a micro-scale) surface looks more shiny
  - A wet surface (water layer is very smooth!) looks more shiny
  - A waxed surface (wax layer is smooth!) looks more shiny
  - A rough, unpolished surface looks dull / not shiny

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### Summary: how do we model the 3D shape? It's a matter of scale!

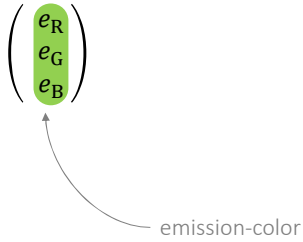
The diagram shows three levels of detail for modeling a 3D shape, each with a corresponding icon and a list of examples:

- mesh** (macro-structure of the object, such as ...)
  - ...the general shape of the horse
  - ...the general shape of the face
  - ...the general shape of the dragon
- normal-map or displ-map** (meso-structure of the object, such as ...)
  - ...the musculature of the horse
  - ...the wrinkles of the face
  - ...the flakes of the dragon
- material** (micro-structure of the object, such as ...)
  - ...the velvet-like fur of the horse
  - ...the structure of the dermis / sebum
  - ...the roughness / smoothness of the flakes


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## A basic lighting equation: emission term

- In formulas:



emission-color



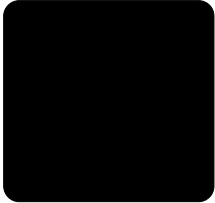
most objects have  
no emission term

- material parameter
- light parameter
- geometry

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## A basic lighting equation - emission term: info

- it models light that is...
  - ...emitted from an object, and
  - ...reaches the camera (directly!)
- useful for, e.g., small led lights, that are visible in the dark
  - for any other object (i.e, most of them), it is zero
- note: the emitted light doesn't illuminate other objects
  - for this, you need to add lights to the scene
- the texture storing it (if there's one) is called **emission map**
- HDR values possible (that is,  $e_{R,G,B} > 1$ ) to get a "glow" effect (see HDR, last lecture)



zero, in this case

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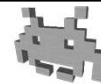
## Defining materials: Chapter 1 ('90s)



- The “Phong” lighting equation has been the *standard* for many years, because
  - It’s cheap to compute
  - It’s easy to control by material artists
  - Lighting equation was hardwired in graphics API (OpenGL and DirectX), and this was the only model which was provided
- Therefore, the material parameters it uses has been the standard way to define materials (in videogames)
  - Via global parameters, vertex attributes, or textures defining them
- Unfortunately, it’s also crude, not realistic, and all materials look similar
  - It’s only realistic if the Specular component is zero

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## How to author a Phong material: (how to pick the parameters)



By hand! Questions a *material artist* must ask him/herself



- **Diffuse color** (aka **Base color**, aka **Albedo**):
  - “Which color is this stuff?”
  - i.e., “Which color does it look like, if I shine a white light on it?”
- **Specular color** (aka **Highlight color**)
  - “Which color and how bright are its reflections?”
  - if it’s a factor (and  $\text{Specular-color} = \text{Base-color} \cdot \text{Specular-factor}$ ): “How bright are its reflection?”
- **Specular exponent** (aka **Glossiness**) (in 1 to 128)
  - “How concentrated are its reflections?”
  - Larger value (e.g., 100) ==> more concentrated highlights
  - Smaller value (e.g., 4) ==> larger highlights
- **Ambient color / Ambient (Occlusion) factor** (in 0 to 1)
  - How easy it is to reach this point of by ambient light
  - Small values: this point is difficult to reach by light
  - Larger values: this point is well exposed, easy to reach

Doesn't make any physical sense,  
it's just a crude way to simulate the effect.  
Real reflections don't work this way!

A way to remedy the fact that we are not modelling a realistic light environment

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## Defining materials: Chapter 1 ('90s)

Material	GL_AMBIENT	GL_DIFFUSE	GL_SPECULAR	GL_SHININESS
Silver	0.19225	0.50754	0.508273	51.2
	0.19225	0.50754	0.508273	
	0.19225	0.50754	0.508273	
	1.0	1.0	1.0	
Polished Silver	0.23125	0.2775	0.773911	89.6
	0.23125	0.2775	0.773911	
	0.23125	0.2775	0.773911	
	1.0	1.0	1.0	
Emerald	0.0215	0.07568	0.633	76.8
	0.1745	0.61424	0.727811	
	0.0215	0.07568	0.633	
	0.55	0.55	0.55	
Jade	0.115	0.54	0.316228	12.8
	0.2225	0.89	0.316228	
	0.1575	0.63	0.316228	
	0.95	0.95	0.95	
Obsidian	0.05375	0.18275	0.332741	38.4
	0.05	0.17	0.33854	
	0.06625	0.22525	0.346435	
	0.82	0.82	0.82	
Pearl	0.25	1.0	0.295648	11.264
	0.20725	0.829	0.295648	
	0.20725	0.829	0.295648	
	0.922	0.922	0.922	
Ruby	0.1745	0.61424	0.727811	76.8
	0.01175	0.04136	0.626999	
	0.01175	0.04136	0.626999	
	0.55	0.55	0.55	
Turquoise	0.1	0.396	0.297254	12.8
	0.18725	0.74151	0.30829	
	0.1745	0.69102	0.306678	
	0.8	0.8	0.8	
Black Plastic	0.0	0.01	0.50	32
	0.0	0.01	0.50	
	0.0	0.01	0.50	
	1.0	1.0	1.0	
Black Rubber	0.02	0.01	0.4	10
	0.02	0.01	0.4	
	0.02	0.01	0.4	
	1.0	1.0	1.0	



not very expressive ☹️

But still used (sometimes).  
MTL files (OBJ file format) is basically this.

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## Problems with the basic (aka Phong) material model

- It's not very expressive
- It's made-up (especially the specular component)
- It isn't realistic



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## Defining materials: Chapter 2 ('00s)

Main reasons:

1. more GPU processing power affords us more realism.
2. Programmable shaders.
3. More GPU RAM to store textures for parameters

- The **Lighting Equation** becomes more complex
  - more terms are added
- It feeds on more material **parameters**...
  - Factors for: Fresnel effect, Anisotropic effect, Reflectivity – with environment maps, ...
- Authoring materials becomes an increasingly complex, and *ad-hoc*, task
  - Difficult to port one material ...
    - ...from one engine to another, ...from one game to another, ...from one asset to another
  - Difficult to guess the right parameters for a given object
    - especially if it has to look good under widely different lighting conditions

the task of the "material artist"



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## Much wider expressiveness is needed



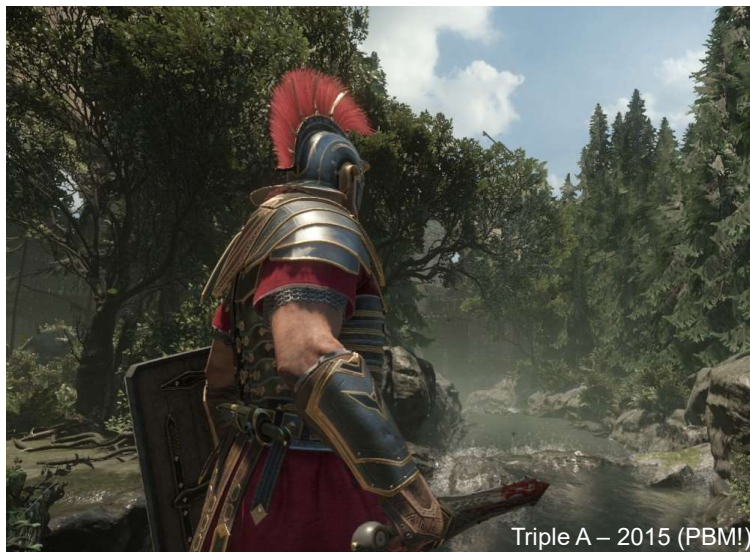
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## Material models are improving



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## Material models are improving



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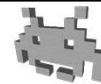
## Defining materials: Chapter 3 ('10s to today)



- **Physically Based Materials (PBM)**
  - an ongoing trend!
- General characteristics and objectives:
  - increased intuitiveness:
    - provide Material Artist with a higher-level material description
    - eases the Material Authoring task
  - increased standardization:
    - makes materials more cross-engine / portable (almost)
  - increased generality:
    - accommodates for more lighting effects / types of materials, such as Fresnel or anisotropic materials...
  - increased realism / quality:
    - more faithful, physically justified model of real-world materials
    - it's possible to capture materials from real-world samples
    - rendering results look better under widely different lighting env

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## «Physically Based Lighting» (PBL)



Defined as...

- A **lighting model** more inspired more by physical reality
  - For example, not infringing energy conservation (unlike Phong)
  - With fewer tricks that just follow some intuition (unlike Phong)
- A **lighting model** accepting, as input, a **PBM**
- Also, a **lighting model** taking fewer shortcuts than otherwise typical
  - For example, use
    - diffuse color: *one* texture
    - baked AO: *a separate* texture
  - Instead of:
    - diffuse color × baked AO : one texture (cheaper!)
- Warning: PBM & PBL are, at some level, buzzwords

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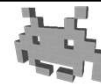
## PBM and PBL: objectives



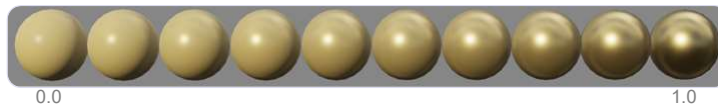
- Make realistic-looking materials easier to design (by **material artists**)
- Make it possible to *capture* materials from Real World samples
- Make it easier to that a reasonably realistic-looking lighting ... under a wider range of simulated lighting conditions
- Standardize Materials, and make it easier to share a material description across different project / applications / games
- Ideally: more real-world materials can be described accurately using a unified model
- Use few parameters (to ease storage, authoring, editing), with each parameter standardized in a 0 to 1 range
- Ideally: no combination of Material parameters looks wrong (unlike, e.g., high spec. color & low specular exp, in phong)

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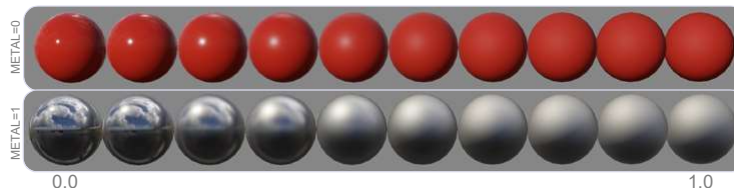
## Physically Based Materials (PBM). A good choice of parameters



- **Base color** (rgb – or “diffuse”, same as old school)
- **Specularity** (scalar – or rgb sometimes)
- “**Metallicity**” (scalar)



- **Roughness** (scalar)



images: unreal engine 4

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## Physically Based Materials (PBM)



- **Base color:** (a color)
  - Same as in base lighting model
- **Specularity:** (a scalar, in 0 to 1)
  - Total amount of light bouncing off the surface with reflections (regardless of how).
  - Barring exception, it is usually high (closer to 1 than to 0): "Everything is shiny", even if in different ways,
- **Metallicity** (or **metallosity**): (a scalar, in 0 to 1)
  - Is the surface a (conductive, dielectric) material or not?
  - In theory, either 0 or 1, but it's possible to interpolate results.
- **Roughness:** (a scalar, in 0 to 1)



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## Uniform VS non-uniform materials



- **Uniform** materials:
  - the parameters are shared by the entire surface
    - They are stored as variables as a part of the **material asset** parameters
- **Non-uniform** Material:
  - the parameters are different in each part of the surface
    - They can be stored as **vertex attributes** of the mesh  
*(for smooth, low-frequency variations)*
    - They can be stored as **textures**  
*(for highest-frequency variations)*
- This choice is done for each material parameter separately
  - and also for the normal / tangent dirs
  - for example, where to store  
(1) diffuse color, (2) specular color, (3) normal, (4) tangent dirs. ?  
(typical answers: 1,2, sometimes 3: textures. 3,4: per vertex attribute)

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## A material Asset



A data structure describing the parameters of a material model, and also:

- How are they stored, for example...
  - ...as texels of *this* texture sheet?, or,
  - ...as *these* global values (when the material is “uniform”)?, or,
  - ...as whichever attributes to be found in the rendered mesh?
- It can include bump-maps (any kind, e.g., normal-maps):
  - which technically, describe the object *shape*, not its *material model*
- It can describe algorithm used to compute the lighting, that is...
  - a set of “shader” programs that gather the parameters, and compute the lighting equation (see lecture on rendering)
  - flags and settings for the GPU rendering (e.g.: activation/deactivation of back-face culling, depth test, alpha blending, etc)
  - which rendering passes must be done
  - instruction affecting the rendering order, etc

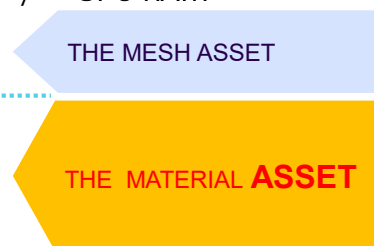
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## Material Asset = status of renderer



To render a mesh...

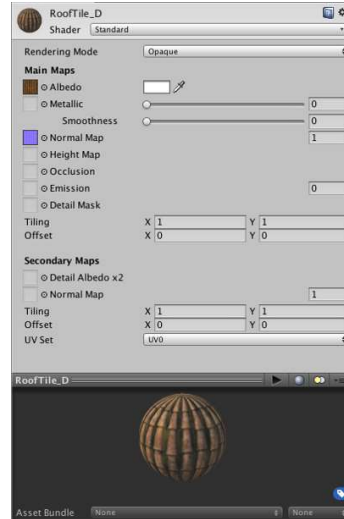
- Load...
  - make sure all data is ready in GPU RAM
    - Geometry + Attributes
    - Connectivity
    - Textures
    - Shaders
    - Material Global Params
    - Rendering Settings
- ...and Fire!
  - issue the Draw Call



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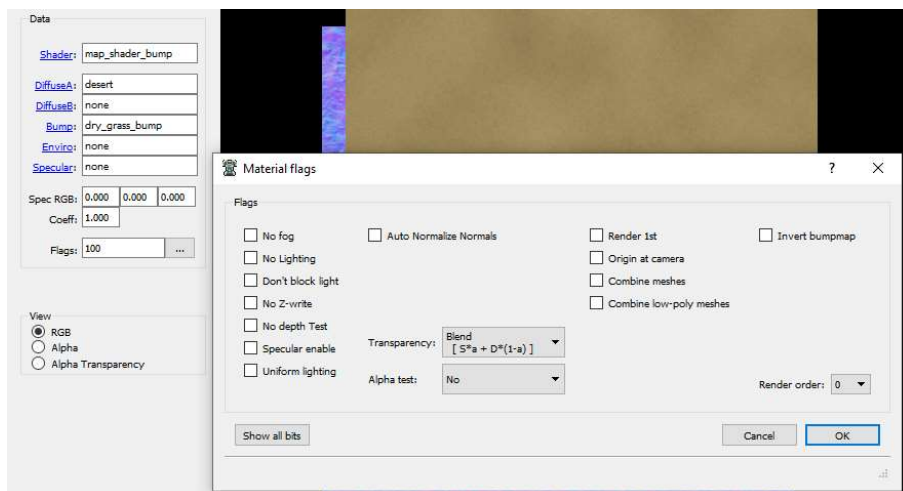
## Material Assets (examples)



Panel for assets of type material in Unity

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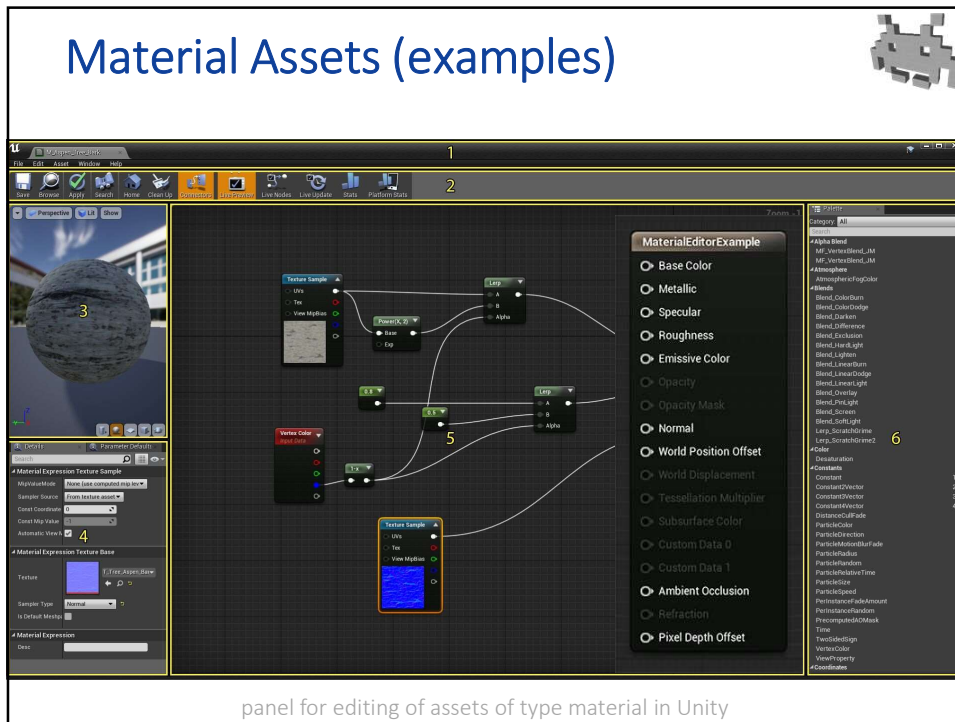
## Material Assets (examples)



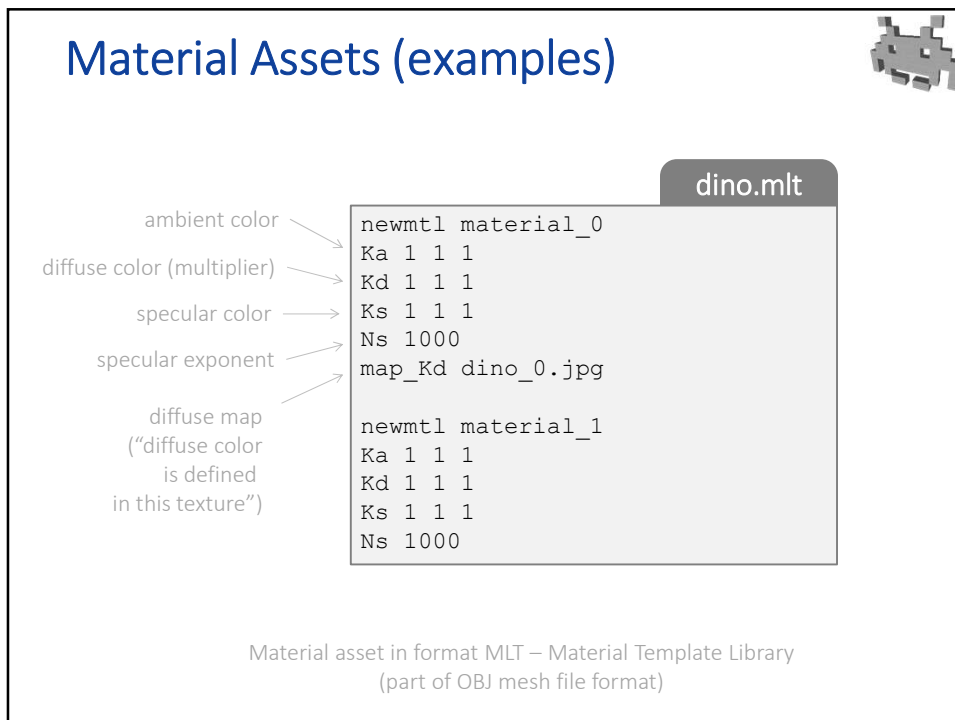
Panel for assets of type material in an indie game tool for asset editing (openBRF)

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